

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II

Form NSAR-B

April 21, 2010

PAGE 1
000 B000000 02/28/2010
000 C000000 0001227857
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 NICHOLAS APPLGATE CONVERTIBLE & INCOME FD II
001 B000000 811-21338
001 C000000 2127393371
002 A000000 1345 AVENUE OF AMERICAS
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10105
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-57798
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10105
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC
008 B000002 S
008 C000002 801-21442
008 D010002 SAN DIEGO
008 D020002 CA
008 D030002 92101
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-57798
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10105
012 A000001 PNC GLOBAL INVESTMENT SERVICING
012 B000001 84-58023
PAGE 2
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

013 B020001 NY
013 B030001 10017
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC
014 B000002 8-045406
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000003 8-041811
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC
014 B000004 8-013630
014 A000005 QUESTAR CAPITAL CORP.
014 B000005 8-050174
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 78
019 C000000 ALLIANZGLO
020 A000001 WELLS FARGO & CO.
020 B000001 41-1508325
020 C000001 19
020 A000002 INSTINET
020 B000002 13-2625132
020 C000002 16
020 A000003 THE GOLDMAN SACHS GROUP, INC.
020 B000003 13-4019460
020 C000003 11
020 A000004 KEYBANC CAPITAL MARKETS, INC.
020 B000004 34-1391952
020 C000004 10
020 A000005 JEFFERIES & COMPANY, INC.
020 B000005 95-4719745
020 C000005 9
020 A000006 BANK OF AMERICA CORP.
020 B000006 56-0906609
020 C000006 9
020 A000007 CITIGROUP, INC.
020 B000007 52-1568099
020 C000007 9
020 A000008 WILLIAM BLAIR & CO., LLC
020 B000008 36-2214610
020 C000008 8
020 A000009 JONESTRADING INSTITUTIONAL SECURITIES LLC
PAGE 3
020 B000009 51-0484896
020 C000009 8
020 A000010 LAZARD FRERES & CO., LLC
020 B000010 13-5545100
020 C000010 7
021 000000 212
022 A000001 BANK OF AMERICA CORP.
022 B000001 56-0906609
022 C000001 69197
022 D000001 21643
022 A000002 CREDIT SUISSE GROUP
022 B000002 13-5659485
022 C000002 51208
022 D000002 32832
022 A000003 THE GOLDMAN SACHS GROUP, INC.
022 B000003 13-4019460

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

022 C000003 30152
022 D000003 22922
022 A000004 JPMORGAN CHASE & CO.
022 B000004 13-2624428
022 C000004 40542
022 D000004 10827
022 A000005 BARCLAYS CAPITAL
022 B000005 05-0346412
022 C000005 18061
022 D000005 32054
022 A000006 CITIGROUP INC.
022 B000006 52-1568099
022 C000006 31930
022 D000006 13686
022 A000007 MORGAN STANLEY & CO., INC.
022 B000007 13-2655998
022 C000007 21386
022 D000007 12914
022 A000008 DEUTSCHE BANK SECURITIES, INC.
022 B000008 13-2730828
022 C000008 17058
022 D000008 12979
022 A000009 WELLS FARGO & CO.
022 B000009 41-1508325
022 C000009 11789
022 D000009 8564
022 A000010 BROADPOINT CAPITAL, INC.
022 B000010 11-2657332
022 C000010 2138
022 D000010 12970
023 C000000 332042
023 D000000 239082
024 000000 Y
025 A000001 BANK OF AMERICA CORP.
025 B000001 56-0906609
PAGE 4
025 C000001 E
025 D000001 24425
025 A000002 CREDIT SUISSE GROUP
025 B000002 13-5659485
025 C000002 E
025 D000002 20893
025 A000003 JPMORGAN CHASE & CO.
025 B000003 13-2624428
025 C000003 E
025 D000003 14129
025 A000004 WELLS FARGO & CO.
025 B000004 41-1508325
025 C000004 E
025 D000004 9864
025 A000005 CITIGROUP, INC.
025 B000005 52-1568099
025 C000005 E
025 D000005 7902
025 A000006 WELLS FARGO & CO.
025 B000006 41-1508325
025 C000006 D
025 D000006 2583
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
	PAGE	5	
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		0.700

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
	PAGE	6
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	Y
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.3

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0

PAGE 7

062 P000000 47.5
 062 Q000000 23.7
 062 R000000 26.5
 063 A000000 0
 063 B000000 7.3
 064 A000000 N
 064 B000000 Y
 065 000000 N
 066 A000000 N
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 Y
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 N
 070 L010000 Y
 070 L020000 N
 070 M010000 N
 070 M020000 N
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 070 P010000 N
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N

071 A000000 388169
 071 B000000 400449

PAGE 8

071 C000000 669691
 071 D000000 58
 072 A000000 12
 072 B000000 55565

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

072 C000000	9647
072 D000000	0
072 E000000	25
072 F000000	4841
072 G000000	0
072 H000000	0
072 I000000	36
072 J000000	115
072 K000000	0
072 L000000	126
072 M000000	62
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	114
072 S000000	89
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	557
072 X000000	5940
072 Y000000	0
072 Z000000	59297
072AA000000	0
072BB000000	94702
072CC010000	322932
072CC020000	0
072DD010000	68553
072DD020000	0
072EE000000	0
073 A010000	1.1400
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	2582
074 D000000	541643
074 E000000	201471
074 F000000	0
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	9167
074 K000000	0
074 L000000	11992
PAGE 9	
074 M000000	40
074 N000000	766895
074 O000000	0
074 P000000	405
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	5360
074 S000000	274000
074 T000000	487130
074 U010000	60727
074 U020000	0
074 V010000	8.02

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

074	V020000	0.00
074	W000000	0.0000
074	X000000	108
074	Y000000	0
075	A000000	0
075	B000000	691543
076	000000	8.76
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	GREAT AMERICAN INSURANCE GROUP
080	B000000	NATIONAL UNION FIRE INSURANCE COMPANY
080	C000000	57500
081	A000000	Y
081	B000000	77
082	A000000	Y
082	B000000	250
083	A000000	N
083	B000000	0
084	A000000	N
	PAGE	10
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	65370G109
087	A030000	NCZ
087	B010000	PREFERRED SHARES SERIES A
087	B020000	65370G208
087	C010000	PREFERRED SHARES SERIES B
087	C020000	65370G307
088	A000000	N
088	B000000	N

Edgar Filing: NICHOLAS APPEGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

088 C000000 N

088 D000000 Y

SIGNATURE LAWRENCE ALTADONNA

TITLE TREASURER & CEO