

Edgar Filing: THERMOGENESIS CORP - Form 4

THERMOGENESIS CORP  
 Form 4  
 February 05, 2002

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

|  |         |          |   |                               |      |
|--|---------|----------|---|-------------------------------|------|
| 1. Name and Address of Reporting Person* |         |          | 2. Issuer Name and Ticker or Trading Symbol                                   |                               | 6.   |
| Browne, Spencer                          |         |          | ThermoGenesis Corp. and KOOL  |                               | --   |
| -----                                    |         |          | -----   |                               | ---  |
| (Last)                                   | (First) | (Middle) | 3. I.R.S. Identification Number of Reporting Person, if an Entity (Voluntary) | 4. Statement for (Month/Year) |      |
| c/o ThermoGenesis Corp.                  |         |          |   | 1/2002                        |      |
| 3146 Gold Camp Drive                     |         |          |   | -----                         |      |
| -----                                    |         |          | -----   |                               |      |
| (Street)                                 |         |          |   |                               | 7. I |
| Rancho Cordova, California 95670         |         |          | 5. If Amendment, Date of Original (Month/Year)                                |                               | X    |
| -----                                    |         |          | -----   |                               | --   |
| (City)                                   | (State) | (Zip)    |   |                               |      |

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

|                |   |   |
|----------------|---|---|
| 3. Transaction | 4. Securities Acquired (A) or Disposed of (D) | 5. Amount of Securities Beneficially Owned at E |
|----------------|---|---|

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| 1. Title of Security<br>(Instr. 3) | 2. Transaction Date<br>(Mo./Day/Yr.) | Code(Instr.8.)<br>Code V | (Instr. 3, 4 and 5)<br>Amount (A) or (D) Price | of Month<br>(Instr.3,4) |
|------------------------------------|--------------------------------------|--------------------------|--|-------------------------|
| -----                              | -----                                | -----                    | -----  | -----                   |
| -----                              | -----                                | -----                    | -----  | -----                   |
| -----                              | -----                                | -----                    | -----  | -----                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr.3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Mo./Day/Yr.) | 4. Transaction Code<br>(Instr. 8)<br>Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3,4,5)<br>A D | 6. Date Exercisable and Expiration Date<br>(Mo./Day/Yr.)<br>Date Expiration Date | 7. Title and Amount of Underlying Securities<br>(Instr.3 and 4)<br>Amount or Number of Shares<br>Title Shares | 8. Price of Derivative Security<br>(Instr. 5) |
|--|--|--------------------------------------|---|---|--|---|---|
| -----  | -----  | -----                                | -----                                       | -----   | -----  | -----   | -----   |
| -----  | -----  | -----                                | -----                                       | -----   | -----  | -----   | -----   |
| -----  | -----  | -----                                | -----                                       | -----   | -----  | -----   | -----   |

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ SPENCER BROWNE

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Spencer Browne

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.