Edgar Filing: NYMEX HOLDINGS INC - Form 4

| NYMEX H | OLDINGS INC | | | | | | | | |
|--|-------------------------------------|--|--------------------------------|---|---|---|---|---------------|---|
| Form 4 | | | | | | | | | |
| November 2 | 27, 2006 | | | | | | | | |
| FORM | Λ4 | | | | | | | OMB A | PPROVAL |
| Washington, D.C. 20549 | | | | | | | | | 3235-0287 |
| Check th if no lon | | Expires: | January 31, | | | | | | |
| subject to Section 16. Form 4 or | | | | | | | | | 2005 average rs per 0.5 |
| Form 5 obligation may com <i>See</i> Insta 1(b). | ons Section 17(a | suant to Section a) of the Public U 30(h) of the I | Jtility Hol | lding Compa | iny A | ct of 1 | 935 or Section | response | |
| (Print or Type | Responses) | | | | | | | | |
| 1. Name and A FORD WII | Address of Reporting E | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (M | of Earliest T | ransaction | | | (Check | ;) | | |
| | ATLANTIC SEF Y, LLC, 3 PICKW | RVICE 11/22/ | Day/Year) 2006 | | | _ | _X_ Director Officer (give t pelow) | | Owner er (specify |
| | (Street) | nendment, D onth/Day/Yea | ate Original ^(r) | A | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| GREENWI | CH, CT 06830 | | | | | - | Form filed by Me Person | | |
| (City) | (State) | (Zip) Tal | ble I - Non- | Derivative Sec | uritie | s Acqui | ired, Disposed of, | or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) | (Month/Day/Year) Execution Date, if | | | 4. Securities 2 onor Disposed o (Instr. 3, 4 an Amount | of (D) | red (A) Price | 5. Amount of Securities6.BeneficiallyForm:OwnedDirect (D)Following Reportedor IndirectReported(I)Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 11/22/2006 | | Code V C | 7,470,523 | A (D) | <u>(6)</u> | 8,138,947 <u>(5)</u> | I | See Note (1) |
| Common Stock | 11/22/2006 | | С | 122,400 | А | <u>(6)</u> | 8,138,947 <u>(5)</u> | Ι | See Note (2) |
| Common Stock | 11/22/2006 | | С | 438,762 | А | <u>(6)</u> | 8,138,947 <u>(5)</u> | Ι | See Note (3) |
| Common | 11/22/2006 | | С | 107,262 | А | <u>(6)</u> | 8,138,947 <u>(5)</u> | Ι | See Note |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock

(4)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|---------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amoun Number Shares |
| Series A Cumulative Reedemable Convertible Preferred Stock | <u>(6)</u> | 11/22/2006 | | С | 7,470,523 | (7) | <u>(6)</u> | Common Stock | 7,470 |
| Series A Cumulative Reedemable Convertible Preferred Stock | <u>(6)</u> | 11/22/2006 | | С | 122,400 | <u>(7)</u> | <u>(6)</u> | Common Stock | 122,4 |
| Series A Cumulative Reedemable Convertible Preferred Stock | <u>(6)</u> | 11/22/2006 | | С | 438,762 | <u>(7)</u> | <u>(6)</u> | Common Stock | 438, |
| Series A Cumulative Reedemable Convertible Preferred Stock | <u>(6)</u> | 11/22/2006 | | С | 107,262 | <u>(7)</u> | <u>(6)</u> | Common Stock | 107,2 |

Reporting Owners

 Reporting Owner Name / Address
 Relationships

 Director
 10% Owner
 Officer
 Other

 FORD WILLIAM E
 X
 X
 X

 GENERAL ATLANTIC SERVICE COMPANY, LLC
 X
 X
 X

3 PICKWICK PLAZA GREENWICH, CT 06830

Signatures

/s/ William E. Ford

11/27/2006

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) By General Atlantic Partners 82, L.P. ("GAP 82").
- (2) By GapStar, LLC ("GapStar").
- (3) By GAP Coinvestments III, LLC ("GAPCO III").
- (4) By GAP Coinvestments IV, LLC ("GAPCO IV").

8,138,947 shares represents 7,470,523 shares owned by General Atlantic Partners 82, L.P. ("GAP 82"), 122,400 shares owned by GapStar, LLC ("GapStar"), 438,762 shares owned by GAP Coinvestments III, LLC ("GAPCO III") and 107,262 shares owned by GAP

- (5) Coinvestments IV, LLC ("GAPCO IV"). General Atlantic LLC ("GA LLC") is the general partner of GAP 82 and the sole member of GapStar. The managing members of GAPCO III and GAPCO IV are Managing Directors of GA LLC. Mr. Ford is President and a Managing Director of GA LLC, and disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein. Mr. Ford has no pecuniary interst in the shares of the issuer owned by GAPCO GmbH & Co. KG and GAP Coinvestments CDA, L.P.
- (6) Upon the closing of the issuer's initial public offering of shares of Common Stock, every one share of Series A Cumulative Redeemable Convertible Preferred Stock automatically converted into one share of Common Stock.
- (7) Immediately.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.