

Edgar Filing: NOVASTAR FINANCIAL INC - Form 8-K/A

NOVASTAR FINANCIAL INC
Form 8-K/A
August 02, 2007

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K/A

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

July 27, 2007
Date of Report (Date of earliest event reported)

NOVASTAR FINANCIAL, INC.
(Exact name of registrant as specified in its charter)

Maryland	001-13533	74-2830661
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(State or other jurisdiction of incorporation or organization)	(Commission File Number)	(I.R.S. Employer Identification No.)

8140 Ward Parkway, Suite 300, Kansas City, MO 64114

(Address of principal executive offices)
(Zip Code)

(816) 237-7000

(Registrant's telephone number, including area code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Explanatory Note

This Form 8-K/A amends the Current Report on Form 8-K filed by NovaStar

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Financial, Inc. ("NFI") with the Securities and Exchange Commission on July 30, 2007 (the "Original 8-K") to clarify the description of the number of shares of its authorized, issued and outstanding capital stock after the one-for-four reverse stock split of its common stock, par value \$0.01 per share, which occurred on July 27, 2007 (the "Reverse Stock Split"). Other than amendments to Item 3.03, Item 5.03 and Item 7.01 of the Original 8-K, no other changes are being made to the Original 8-K.

Item 3.03 Material Modification to Rights of Security Holders

On August 2, 2007, NFI issued a press release to clarify the information it reported in a press release issued on July 27, 2007 and in the Original 8-K, with respect to its authorized, issued and outstanding shares of capital stock after the Reverse Stock Split. A copy of the press release announcing the clarification is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

Item 5.03 Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year.

On August 2, 2007, NFI issued a press release to clarify the information it reported in a press release issued on July 27, 2007 and in the Original 8-K, with respect to its authorized, issued and outstanding shares of capital stock after the Reverse Stock Split. A copy of the press release announcing the clarification is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

Item 7.01 Regulation FD Disclosure

On August 2, 2007, NFI issued a press release to clarify the information it reported in a press release issued on July 27, 2007 and in the Original 8-K, with respect to its authorized, issued and outstanding shares of capital stock after the Reverse Stock Split. A copy of the press release announcing the clarification is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

Item 9.01 Financial Statement and Exhibits

(d) Exhibits.

<u>Exhibit No.</u>	<u>Document</u>
99.1	Press Release of NovaStar Financial, Inc., dated August 2, 2007.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NOVASTAR FINANCIAL, INC.

DATE: August 2, 2007

/s/ Gregory S. Metz

Gregory S. Metz
Chief Financial Officer

Index to Exhibits

<u>Exhibit No.</u>	<u>Document</u>
99.1	Press Release of NovaStar Financial, Inc., dated August 2, 2007.