#### Edgar Filing: PERCEPTRON INC/MI - Form 3

#### PERCEPTRON INC/MI

Form 3 July 05, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PERCEPTRON INC/MI [PRCP] A Moab Capital Partners LLC (Month/Day/Year) 06/28/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 15 EAST 62ND STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director \_X\_\_ 10% Owner Form filed by One Reporting Officer \_X\_ Other NEW YORK, NYÂ 10065 (give title below) (specify below) \_X\_ Form filed by More than One See Footnote 1 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Ι Common Stock, \$0.01 par value  $\frac{(1)}{}$ 778,562 By Moab Partners, L.P. (2) By Managed Account of Moab Ι Common Stock, \$0.01 par value  $\frac{(1)}{}$ 52,336 Capital Partners, LLC (3) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise		6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Put Option (obligation to buy) (1)	(4)	07/15/2016	Common Stock, \$0.01 par value	13,200	\$ 5	I	By Moab Partners, L.P. (2)
Put Option (obligation to buy) (1)	(4)	07/15/2016	Common Stock, \$0.01 par value	800	\$ 5	I	By Managed Account of Moab Capital Partners, LLC (3)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>F</b>	Director	10% Owner	Officer	Other	
Moab Capital Partners LLC 15 EAST 62ND STREET NEW YORK, NY 10065	Â	ÂX	Â	See Footnote 1	
Moab Partners LP 15 EAST 62ND STREET NEW YORK, NY 10021	Â	ÂX	Â	See Footnote 1	
Rothenberg Michael 15 EAST 62ND STREET NEW YORK, NY 10021	Â	ÂX	Â	See Footnote 1	

## **Signatures**

Moab Partners, L.P., By: Moab Capital Partners, LLC, its Investment Adviser, By: /s/ Michael M. Rothenberg, Managing Director		
**Signature of Reporting Person	Date	
Moab Capital Partners, LLC, By: /s/ Michael M. Rothenberg, Managing Director		
**Signature of Reporting Person	Date	
/s/ Michael M. Rothenberg	07/05/2016	
**Signature of Reporting Person	Date	

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Form 3 is filed jointly by Moab Capital Partners, LLC ("Moab LLC"), Moab Partners, L.P. ("Moab LP") and Michael M. Rothenberg (collectively, the "Reporting Persons"). As of June 28, 2016, each of the Reporting Persons may be deemed to be a member of a Section 13(d) group with each of Harbert Discovery Fund, LP, Harbert Discovery Fund GP, LLC, Harbert Fund Advisors, Inc.,
- (1) Harbert Management Corporation, Jack Bryant, Kenan Lucas, and Raymond Harbert, which collectively beneficially owns more than 10% of the Issuer's outstanding shares of Common Stock. Each Reporting Person disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein, and this report shall not be deemed to be an admission that any Reporting Person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Reporting Owners 2

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- (2) Securities owned by Moab LP. Each of Moab LLC, as the investment adviser of Moab LP, and Mr. Rothenberg, as the managing director of Moab LLC, may be deemed to be the beneficial owner of the securities owned by Moab LP.
- Securities held in an account managed by Moab LLC (the "Managed Account"). Each of Moab LLC, as the investment adviser of the
- (3) Managed Account, and Mr. Rothenberg, as the managing director of Moab LLC, may be deemed to be the beneficial owner of the securities held in the Managed Account.
- (4) The put options are exercisable at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.