

Cappell Kenneth W
Form 4
December 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Cappell Kenneth W

(Last) (First) (Middle)

100 RIVERSIDE DRIVE,
APARTMENT 5A

(Street)

NEW YORK, NY 10024

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
AROTECH CORP [ARTX]

3. Date of Earliest Transaction
(Month/Day/Year)
12/12/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	12/12/2018		P		100 A \$ 2.605	107,802 ⁽¹⁾	D
Common Stock	12/12/2018		P		100 A \$ 2.6083	107,902 ⁽¹⁾	D
Common Stock	12/12/2018		P		100 A \$ 2.6087	108,002 ⁽¹⁾	D
Common Stock	12/12/2018		P		800 A \$ 2.6099	108,802 ⁽¹⁾	D
Common Stock	12/12/2018		P		1,400 A \$ 2.6199	110,202 ⁽¹⁾	D

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Common Stock	12/12/2018	P	101	A	\$ 2.62	110,303 ⁽¹⁾	D
Common Stock	12/12/2018	P	266	A	\$ 2.6291	110,569 ⁽¹⁾	D
Common Stock	12/12/2018	P	100	A	\$ 2.6299	110,669 ⁽¹⁾	D
Common Stock	12/12/2018	P	200	A	\$ 2.63	110,869 ⁽¹⁾	D
Common Stock	12/12/2018	P	934	A	\$ 2.64	111,803 ⁽¹⁾	D
Common Stock	12/12/2018	P	899	A	\$ 2.6441	112,702 ⁽¹⁾	D
Common Stock	12/12/2018	P	2,000	A	\$ 2.6477	114,702 ⁽¹⁾	D
Common Stock	12/12/2018	P	1,000	A	\$ 2.6497	115,702 ⁽¹⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Cappell Kenneth W
100 RIVERSIDE DRIVE, APARTMENT 5A X
NEW YORK, NY 10024

Signatures

/s/ Kenneth W. 12/13/2018
Cappell

Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 24,185 unvested shares of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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