Edgar Filing: Lazard Global Mid Cap Fund, Inc. - Form 3

Lazard Global Mid Cap Fund, Inc. Form 3 December 21, 2004 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

Statement

(Month/Day/Year) 12/13/2004

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

4 Relationship of Reporting

Lazard Global Mid Cap Fund, Inc. [LMG]

(Print or Type Responses)

SIMON BRIAN D

Person *

(Instr. 4)

(Last)

1. Name and Address of Reporting

(First)

(Middle)

| (Last) | (First) | (Middle) | | 4. Relationship of Reporting Person(s) to Issuer | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | |
|-----------------------------------|----------------------------|---|---|--|-----------------|---------------------------|--|--|--|
| C/O LAZAF | | - | | (Charl | 111:1-1 -) | | | | |
| MANAGEM | | | | (Check all applicable) | | | | | |
| ROCKEFEI | LLER PLA | ZA | | Director | r 10% | Owner | | | |
| (Street) | | | | _X_Officer _X_Other (give title below) (specify below) Asst Sec of the Fund / Senior VP | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting | | |
| NEW YORI | K, NY 1 | 10112 | | of LAM(1) | | | Person Form filed by More than One Reporting Person | | |
| (City) | (State) | (Zip) | Table I - I | Table I - Non-Derivative Securities Beneficially Owned | | | | | |
| 1.Title of Security (Instr. 4) | | | | 2. Amount of Securities 3. Beneficially Owned Ownership (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) | | 4. Nat Owne (Instr. | • | | |
| Reminder: Repo owned directly | | | ch class of securities benefic | cially S | SEC 1473 (7-02 | 2) | | | |
| | inforn requir currei | nation conta red to respo ntly valid Ol | pond to the collection of ained in this form are no nd unless the form disp MB control number. | t lays a | | | | | |
| 1 | able II - Der | rivative Secur | rities Beneficially Owned (a | e.g., puts, calls | , warrants, opt | tions, co | onvertible securities) | | |
| 1. Title of Deri | vative Securi | ty 2. Da | te Exercisable and 3. Title | e and Amount o | of 4. | 5. | 6. Nature of Indirect | | |

| ative Security | 2. Date Exerc | cisable and | 3. Title and I | Amount of | 4. | 5. | 6. Nature of Indirect |
|----------------|------------------|-------------|-----------------------|-----------|------------------------|-------------------------|-----------------------|
| | Expiration Da | ate | Securities Underlying | | Conversion | Ownership | Beneficial Ownership |
| | (Month/Day/Year) | | Derivative Security | | or Exercise | Form of | (Instr. 5) |
| | | | (Instr. 4) | | Price of | Derivative | |
| | Date | Expiration | Title | Amount or | Derivative Security | Security: Direct (D) | |
| | Exercisable | Date | | Number of | Security | Direct (D) | |

OMB 3235-0104 Number: January 31, Expires:

2005 Estimated average burden hours per response...

5 If Amendment Date Original

OMB APPROVAL

0.5

Shares or Indirect (I) (Instr. 5)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|----------------------|---------------------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| SIMON BRIAN D C/O LAZARD ASSET MANAGEMENT LLC 30 ROCKEFELLER PLAZA NEW YORK, NY 10112 | Â | Â | Asst Sec of the Fund | Senior VP of LAM(1) | | |
| Signatures | | | | | | |

/s/ Brian D. Simon Brian D. Simon Title: Assistant Secretary of the Fund and Senior Vice President of LAM

**Signature of Reporting Person

Explanation of Responses:

No securities are beneficially owned

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

(1) Lazard Asset Management LLC (LAM) is the investment adviser to Lazard Global Mid Cap Fu

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date