Louis Berkman Investment CO Form 4

April 20, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Louis Berkman Investment CO

2. Issuer Name and Ticker or Trading

AMPCO PITTSBURGH CORP [AP]

5. Relationship of Reporting Person(s) to Issuer

Symbol

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Middle)

(Month/Day/Year)

Director Officer (give title \_X\_\_ 10% Owner Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

600 GRANT STREET, SUITE 3230 (Street)

(First)

04/19/2018

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

Person

below)

PITTSBURGH, PA 15219-2704

(City)	(State) (Z	Cip) Table	I - Non-Do	erivative S	Securi	ties Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Secur or(A) or D (Instr. 3,	oispose , 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	04/19/2018	04/19/2018	S(1)	1,800	D	\$ 11.3	1,293,555	D	
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	100	D	\$ 11.275	1,293,455	D	
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	2,850	D	\$ 11.25	1,290,605	D	
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	1,553	D	\$ 11.2	1,289,052	D	
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	200	D	\$ 11.175	1,288,852	D	
	04/19/2018	04/19/2018	S(1)	600	D	\$ 11.15	1,288,252	D	

#### COMMON **STOCK**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date	11010	of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Othe			

Louis Berkman Investment CO 600 GRANT STREET, SUITE 3230 PITTSBURGH, PA 15219-2704

X

### **Signatures**

/s/Jennifer L. Gloff,

Secretary 04/20/2018 \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transactions reported in this Form 4 were effected pursuant to a Rule 10B5-1 trading plan adopted by the reporting person on (1) December 27, 2017 (the "Plan"). The Plan was adopted to allow members of the Berkman family to satisfy liquidity and diversification

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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