NATUS MEDICAL INC Form 4

July 24, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GUNST ROBERT A** 

2. Issuer Name and Ticker or Trading Symbol

NATUS MEDICAL INC [BABY]

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Street)

(State)

(Check all applicable)

C/O NATUS MEDICAL

3. Date of Earliest Transaction

(Month/Day/Year) 07/24/2015

X\_ Director 10% Owner Officer (give title Other (specify below)

INCORPORATED, 1501 INDUSTRIAL ROAD

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN CARLOS, CA 94070

1. Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Month/Day/Year) (Instr. 8)

(Zip)

(Middle)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or Code V Amount (D)

(Instr. 3 and 4) Price

Common

value per share

(City)

Stock, \$0.001 par 07/24/2015

07/24/2015

S 4,000

D 45.3 50,000 (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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### Edgar Filing: NATUS MEDICAL INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                                  | 5.        | 6. Date Exerc       | cisable and        | 7. Titl          | e and          | 8. Price of | 9. Nu  |
|--|-------------|-------------|---------------------|--------------------|-------------------------------------|-----------|---------------------|--------------------|------------------|----------------|-------------|--------|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber                   |           | Expiration D        | ate Amou           |                  | nt of          | Derivative  | Deriv  |
|  | Security    | or Exercise |                     | any                | Code                                | of        | (Month/Day/         | Year)              | Under            | lying          | Security    | Secui  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative<br>Securities |           |                     |                    | Securi           | ities          | (Instr. 5)  | Bene   |
|  |             | Derivative  |                     |                    |                                     |           |                     |                    | (Instr. 3 and 4) |                |             | Own    |
|  |             | Security    |                     |                    |                                     | Acquired  |                     |                    |                  |                |             | Follo  |
|  |             | •           |                     |                    |                                     | (A) or    |                     |                    |                  |                |             | Repo   |
|  |             |             |                     |                    |                                     | Disposed  |                     |                    |                  |                |             | Trans  |
|  |             |             |                     |                    | of (D)<br>(Instr. 3,                |           |                     |                    |                  |                |             | (Instr |
|  |             |             |                     |                    |                                     |           |                     |                    |                  |                |             |        |
|  |             |             |                     |                    |                                     | 4, and 5) |                     |                    |                  |                |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | A              |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | Amount         |             |        |
|  |             |             |                     |                    |                                     | I (A) (D) | Date<br>Exercisable | Expiration<br>Date | Title            | or<br>Namelana |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | Number         |             |        |
|  |             |             |                     |                    | C 1 W                               |           |                     |                    |                  | of             |             |        |
|  |             |             |                     |                    | Code V                              | (A) (D)   |                     |                    |                  | Shares         |             |        |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

**GUNST ROBERT A** C/O NATUS MEDICAL INCORPORATED 1501 INDUSTRIAL ROAD SAN CARLOS, CA 94070



## **Signatures**

/s/ JONATHAN A. KENNEDY, by POWER OF **ATTORNEY** 

07/24/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$45.26 to \$46.10. The price reported above reflects the weighted (1) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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