Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 3

NAVISTAR INTERNATIONAL CORP Form 3 October 20, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> D'ARCY STEPHEN R.			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol NAVISTAR INTERNATIONAL CORP [NAV]					
(Last)	(First)	(Middle)	10/14/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
NAVISTAR INTERNATIONAL CORPORATION, 2701 NAVISTAR DRIVE (Street) LISLE, IL 60532 (City) (State) (Zip)			(Check all applicable) X_Director10% Owner OfficerOther (give title below) (specify below) Table I - Non-Derivative Securities Be			ow)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person meficially Owned		
1.Title of Securi (Instr. 4)	ty		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	•		
Reminder: Report on a separate line for each class of securities beneficial owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form display currently valid OMB control number.					EC 1473 (7-02)			

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	2	Security: Direct (D)	

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address			Relationships					
	Director	10% Owner	Officer	Other				
D'ARCY STEPHEN R. NAVISTAR INTERNATIONAL CO 2701 NAVISTAR DRIVE LISLE, IL 60532	ÂX	Â	Â	Â				
Signatures								
Curt A. Kramer, Attorney in fact	10/20/2016							
**Signature of Reporting Person	Date							
Evaluation of Poenoneoe:								

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.