Edgar Filing: SUN LIFE FINANCIAL INC - Form 3

SUN LIFE FINANCIAL INC

Form 3 May 18, 2010

FORM 3 UNITED ST

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Sun Life Assurance CO of Canada			2. Date of Event Requiring Statement (Month/Day/Year) 05/07/2010		3. Issuer Name and Ticker or Trading Symbol Kayne Anderson MLP Investment CO [KYN]				
(Last) (Fi	rst)	(Middle)			4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
150 KING STRE	EET WE	EST,Â						Tired(Mondibay/Tear)	
(Str	reet)				(Check all applicable)			6. Individual or Joint/Group	
TORONTO, A6 M5H 1J9					DirectorX 10% Ow Officer Other (give title below) (specify below)		•	Filing(Chastr Applicable Line)	
(City) (St	ate)	(Zip)		Table I - N	lon-Derivat	ive Securiti	es Be	neficially Owned	
1.Title of Security (Instr. 4)				2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr		
5.57% Mandatory Redeemable Preferred Shares due May 7, 2017			\$ 15,000,000		D	Â			
5.57% Mandator Shares due May	•	emable Pre	eferred	\$ 15,000,0	00	Ι	See	below	
Reminder: Report on owned directly or inc		te line for ea	ch class of secu	ırities benefici	ially S	EC 1473 (7-02	2)		
	informa require	ation conta d to respo	oond to the c ined in this f nd unless the IB control no	orm are not e form displ					

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

Expiration Title

Date

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Amount or

Number of

Shares

Conversion or Exercise Price of Derivative Security

5. Ownership Form of (Instr. 5) Derivative Security:

Direct (D)

or Indirect

(I) (Instr. 5) 6. Nature of Indirect Beneficial Ownership

Date

Exercisable

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting o meet runner, runners	Director	10% Owner	Officer	Othe		
Sun Life Assurance CO of Canada 150 KING STREET WEST TORONTO, A6 M5H 1J9	Â	ÂΧ	Â	Â		
SUN LIFE FINANCIAL INC SUN LIFE ASSURANCE CO OF CANADA 150 KING STREET WEST SUITE 1400 TORONTO, A6Â	Â	ÂX	Â	Â		

Signatures

/s/ John T. Donnelly, Senior Managing Director - Investment Products and Strategies on behalf of Sun Life Assurance Company of Canada

05/18/2010

**Signature of Reporting Person

Date

/s/ Ann King, Assistant Vice President on behalf of Sun Life Assurance Company of Canada

05/18/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Sun Life Financial Inc. owns 100% of the outstanding shares of stock of Sun Life Assurance Con Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2