

Edgar Filing: Unum Group - Form 8-K

Unum Group  
Form 8-K  
February 17, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 17, 2017

UNUM GROUP  
(Exact name of registrant as specified in its charter)

Delaware                      001-11294    62-1598430  
(State or other jurisdiction (Commission (IRS Employer  
of incorporation)              File Number) Identification No.)

1 Fountain Square  
Chattanooga, Tennessee 37402  
(Address of principal executive offices)(Zip Code)

(423) 294-1011  
(Registrant's telephone number, including area code)

Not Applicable  
(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On February 17, 2017, Unum Group (the “Company”) announced that it has named Danny Waxenberg as Senior Vice President, Chief Accounting Officer. The appointment will become effective on February 19, 2017 and, in this capacity, Mr. Waxenberg will serve as the Company’s principal accounting officer.

Mr. Waxenberg, 43, has been serving as Vice President, Global Financial Planning and Analysis of the Company since July 2012 and, prior to that, served as the Financial Controller for Unum UK from August 2008. He joined the Company in 2002 and has held a variety of progressive leadership roles during his tenure.

A copy of the Company's news release announcing Mr. Waxenberg's appointment, along with other promotions occurring at the same time, is filed herewith as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits. The following exhibits are filed with this report:

99.1 News release of Unum Group dated February 17, 2017.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Unum Group  
(Registrant)

Date: February 17, 2017 By: /s/ J. Paul Jullienne  
Name: J. Paul Jullienne  
Title: Vice President, Managing Counsel  
and Corporate Secretary

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INDEX TO EXHIBITS

Exhibit No. Description

99.1 News release of Unum Group dated February 17, 2017.