

EMC INSURANCE GROUP INC
 Form 5
 February 16, 2016

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
 Expires: January 31, 2005
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
 Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
HOFFMANN RICHARD W

2. Issuer Name and Ticker or Trading Symbol
EMC INSURANCE GROUP INC [EMCI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
 12/31/2015

Director 10% Owner
 Officer (give title below) Other (specify below)
 V.P., Gen. Counsel & Secretary

P.O. BOX 712

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

DES MOINES, IA 50306

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
EMCI Common Stock	06/23/2015 ⁽¹⁾	Â	J	4,270 ⁽¹⁾ A	\$ 0 ⁽¹⁾ 12,711 ⁽¹⁾	D	Â
EMCI-Common Stock	06/23/2015 ⁽¹⁾	Â	J	205 ⁽¹⁾ A	\$ 0 ⁽¹⁾ 615 ⁽¹⁾	I	Spouse
EMCI Common Stock	06/23/2015 ⁽¹⁾	Â	J	45 ⁽¹⁾ A	\$ 0 ⁽¹⁾ 135 ⁽¹⁾	I	Spouse ⁽²⁾

Edgar Filing: EMC INSURANCE GROUP INC - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
ISO Right To Buy	\$ 16.97 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	1,125 ₍₁₎	Â	03/09/2008	03/09/2017	Common Stock	1,125 ₍₁₎
NQO Right To Buy	\$ 12.5767 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	2,250 ₍₁₎	Â	03/03/2010	03/03/2019	Common Stock	2,250 ₍₁₎
NQO Right To Buy	\$ 13.7833 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	282 ₍₁₎	Â	03/01/2011	03/01/2020	Common Stock	282 ₍₁₎
NQO Right To Buy	\$ 16.27 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	563 ₍₁₎	Â	03/01/2012	03/01/2021	Common Stock	563 ₍₁₎
NQO Right To Buy	\$ 13.9867 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	750 ₍₁₎	Â	03/01/2013	03/01/2022	Common Stock	750 ₍₁₎
ISO Right To Buy	\$ 15.6447 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	1,250 ₍₁₎	Â	03/05/2009	03/05/2018	Common Stock	1,250 ₍₁₎
NQO Right To Buy	\$ 12.5767 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	375 ₍₁₎	Â	03/03/2010	03/03/2019	Common Stock	375 ₍₁₎
NQO Right To Buy	\$ 13.7833 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	188 ₍₁₎	Â	03/01/2011	03/01/2020	Common Stock	188 ₍₁₎
NQO Right To Buy	\$ 16.27 ₍₁₎	06/23/2015 ₍₁₎	Â	J ₍₁₎	188 ₍₁₎	Â	03/01/2012	03/01/2021	Common Stock	188 ₍₁₎
		06/23/2015 ₍₁₎	Â	J ₍₁₎		Â	03/01/2013	03/01/2022		375 ₍₁₎

NQO	\$ 13.9867	375	Common
Right To	<u>(1)</u>	<u>(1)</u>	Stock
Buy			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOFFMANN RICHARD W P.O. BOX 712 DES MOINES, IA 50306	Â	Â	Â V.P., Gen. Counsel & Secretary	Â

Signatures

Richard W.
Hoffmann

02/12/2016

 Signature of
Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Holdings adjusted to reflect 3 for 2 stock split effected by a stock dividend paid on June 23, 2015
- (2) Indirect beneficial ownership: Spouse as custodian for son under U.T.M.A. (Iowa).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.