EATON VANCE CORP Form 8-K August 17, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

## FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 17, 2016

EATON VANCE CORP.

(Exact name of registrant as specified in its charter)

Maryland

<u>1 810</u>0

04-2718215

(State or other jurisdiction

(Commission File Number)

(IRS Employer Identification No.)

of incorporation)

#### Two International Place, Boston, Massachusetts

## <u>02110</u>

(Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code: (617) 482-8260

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act

(17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act

(17 CFR 240.13e-4(c))

# Edgar Filing: EATON VANCE CORP - Form 8-K **INFORMATION INCLUDED IN THE REPORT**

# <u>Item 2.02.</u>

# **Results of Operations and Financial Condition**

Registrant has reported its results of operations for the three and nine months ended July 31, 2016, as described in Registrant s news release dated August 17, 2016, a copy of which is furnished herewith as Exhibit 99.1 and incorporated herein by reference.

## Item 9.01.

## **Financial Statements and Exhibits**

Exhibit No.

**Document** 

99.1

Press release issued by the Registrant dated August 17, 2016.

2

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned hereunto duly authorized.

# EATON VANCE CORP.

(Registrant)

Date:

August 17, 2016

/s/ Laurie G. Hylton

Laurie G. Hylton, Chief Financial Officer &

Chief Accounting Officer

3

#### EXHIBIT INDEX

Each exhibit is listed in this index according to the number assigned to it in the exhibit table set forth in Item 601 of Regulation S-K. The following exhibit is filed as part of this Report:

Exhibit No.

**Description** 

99.1

Copy of Registrant's news release dated August 17, 2016

4