PUTH JOHN W Form 5 February 14, 2007

#### FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if

**OMB APPROVAL** 

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See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

no longer subject

to Section 16.

5 obligations

may continue.

Form 4 or Form

30(h) of the Investment Company Act of 1940

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

OWNERSHIP OF SECURITIES

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **PUTH JOHN W** Symbol CASTLE A M & CO [CAS] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) (Month/Day/Year) \_X\_ Director 10% Owner Officer (give title 12/31/2007 Other (specify below) below) 3400 NORTH WOLF ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

FRANKLIN PARK. ILÂ 60131

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of Securities (Instr. 3) Code Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) Fiscal Year (A) (Instr. 3 and Amount (D) Price Common Â 06/01/2006 04/25/2007 18,398 D Α 1,773 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: PUTH JOHN W - Form 5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Num of Deriv Secu Acqu (A) of Dispo of (D (Instrument))	vative rities nired or osed O) r. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 12.31	Â	Â	Â	Â	Â	06/01/2001	06/01/2010	Common Stock	1,500
Stock Options (Right to buy)	\$ 14.22	Â	Â	Â	Â	Â	07/01/2006	07/01/2015	Common Stock	7,500
Stock Options (Right to buy)	\$ 15.06	Â	Â	Â	Â	Â	06/01/2000	06/01/2009	Common Stock	1,500
Stock Options (Right to buy)	\$ 21.875	Â	Â	Â	Â	Â	06/02/1998	06/01/2007	Common Stock	1,500
Stock Options (Right to buy)	\$ 22.44	Â	Â	Â	Â	Â	06/01/1999	06/01/2008	Common Stock	1,500
Stock Options (Right to buy)	\$ 28.25	Â	Â	Â	Â	Â	06/03/1997	06/02/2006	Common Stock	1,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
PUTH JOHN W						
3400 NORTH WOLF ROAD	ÂΧ	Â	Â	Â		
FRANKLIN PARK, IL 60131						

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### **Signatures**

Jerry M. Aufox 02/14/2007

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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