

Vittoria Edward Sergio
Form 4
August 15, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Vittoria Edward Sergio

2. Issuer Name **and** Ticker or Trading
Symbol
PURADYN FILTER
TECHNOLOGIES INC [PFTI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

C/O 2017 HIGH RIDGE ROAD

(Street)

BOYNTON BEACH, FL 33426

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
08/13/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
common stock	08/13/2018		P		10,000	A	\$ 0.0185	65,000	D
common stock	08/13/2018		P		190,000	A	\$ 0.019	255,000	D
common stock	08/13/2018		P		61,200	A	\$ 0.02	316,200	D
common stock	08/13/2018		P		103,500	A	\$ 0.027	419,700	D <u>(1)</u>
common stock	08/13/2018		P		146,500	A	\$ 0.031	566,200	D <u>(1)</u>

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common stock	08/13/2018	P	138,000	A	\$ 0.032	704,200	D
common stock	08/13/2018	P	29,624	A	\$ 0.033	733,824	D
common stock	08/13/2018	P	21,176	A	\$ 0.034	755,000	D
common stock	08/14/2018	P	45,195	A	\$ 0.027	800,195	D ⁽¹⁾
common stock	08/14/2018	P	38,200	A	\$ 0.029	838,395	D ⁽¹⁾
common stock	08/14/2018	P	150	A	\$ 0.032	838,545	D ⁽¹⁾
common stock	08/14/2018	P	10,000	A	\$ 0.033	848,545	D ⁽¹⁾
common stock	08/14/2018	P	11,763	A	\$ 0.034	860,308	D ⁽¹⁾
common stock	08/14/2018	P	19,708	A	\$ 0.042	880,016	D ⁽¹⁾
common stock	08/14/2018	P	64,984	A	\$ 0.044	945,000	D ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Vittoria Edward Sergio C/O 2017 HIGH RIDGE ROAD BOYNTON BEACH, FL 33426	X		Chief Executive Officer	

Signatures

/s/ Kathryn A. Morris, Attorney-in-fact for Edward S. Vittoria

08/15/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held for the benefit of Mr. Vittoria's minor children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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