BAKER FELIX

Form 4

November 13, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * BAKER BROS. ADVISORS LP

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

Mirati Therapeutics, Inc. [MRTX]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title

below)

_X__ 10% Owner _ Other (specify

860 WASHINGTON STREET, 3RD

(Street)

FLOOR

4. If Amendment, Date Original

Filed(Month/Day/Year)

11/08/2017

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

NEW YORK, NY 10014

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially							lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie or(A) or Disp (Instr. 3, 4	osed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							137	D (1)	
Common Stock							137	D (2)	
Common Stock	11/08/2017		X	24,466 (3)	A	\$ 7.86	141,244	I	See Footnotes (4) (7) (8)
Common Stock	11/08/2017		X	249,801 (3)	A	\$ 7.86	2,372,218	I	See Footnotes (5) (7) (8)
	11/08/2017		X	5,996 (3)	A		35,999	I	

Edgar Filing: BAKER FELIX - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Common Stock Warrants (right to buy)	\$ 7.86	11/08/2017		X		24,466 (3)	03/22/2013	11/21/2017	Common Stock	24,46
Common Stock Warrants (right to buy)	\$ 7.86	11/08/2017		X		249,801 (3)	03/22/2013	11/21/2017	Common Stock	249,80
Common Stock Warrants (right to buy)	\$ 7.86	11/08/2017		X		5,996 (3)	03/22/2013	11/21/2017	Common Stock	5,996

Reporting Owners

Reporting Owner Name / Address	Relationships				
F	Director	10% Owner	Officer	Other	
BAKER BROS. ADVISORS LP					
860 WASHINGTON STREET		X			
3RD FLOOR		Λ			
NEW YORK, NY 10014					

Reporting Owners 2

Edgar Filing: BAKER FELIX - Form 4

Baker Bros. Advisors (GP) LLC 860 WASHINGTON STREET 3RD FLOOR

X

X

NEW YORK, NY 10014

BAKER FELIX

860 WASHINGTON STREET

3RD FLOOR

NEW YORK, NY 10014

BAKER JULIAN

860 WASHINGTON STREET, 3RD FLOOR

X

NEW YORK, NY 10014

Signatures

By: Baker Bros. Advisors LP, Name: Scott L. Lessing, Title: President /s/ Scott L. Lessing

11/10/2017 Date

**Signature of Reporting Person

By: Baker Bros. Advisors (GP) LLC, Name: Scott L. Lessing, Title: President /s/ Scott L. Lessing

11/10/2017

**Signature of Reporting Person

Date

/s/ Felix J. Baker

11/10/2017

**Signature of Reporting Person

Date

/s/ Julian C. Baker

11/10/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents common stock of Mirati Therapeutics, Inc. (the "Issuer") held directly by Julian C. Baker. The common stock was received (1) from the exercise of warrants to purchase common stock of the Issuer which was received in an in kind pro rata distribution from an affiliated investment fund in September 2015 without consideration.
- Represents common stock of the Issuer held directly by Felix J. Baker. The common stock was received from the exercise of warrants to purchase common stock of the Issuer which was received in an in kind pro rata distribution from an affiliated investment fund in September 2015 without consideration.
- (3) Represents the exercise of warrants for common stock of the Issuer at an exercise price of \$7.86 per share.
 - After giving effect to the transaction reported herein, and as a result of their ownership interest in Baker Biotech Capital (GP), LLC, Julian C. Baker and Felix J. Baker may be deemed to have an indirect pecuniary interest in the Issuer's shares of Common Stock reported
- (4) in column 5 of Table I directly held by 667, L.P. ("667"), a limited partnership of which the sole general partner is Baker Biotech Capital, L.P., a limited partnership of which the sole general partner is Baker Biotech Capital (GP), LLC, due to Baker Biotech Capital, L.P.'s right to receive an allocation of a portion of the profits from 667.
 - After giving effect to the transaction reported herein, and as a result of their ownership interest in Baker Brothers Life Sciences Capital (GP), LLC, Julian C. Baker and Felix J. Baker may be deemed to have an indirect pecuniary interest in the Issuer's shares of Common
- (5) Stock reported in column 5 of Table I directly held by Baker Brothers Life Sciences, L.P. ("Life Sciences"), a limited partnership of which the sole general partner is Baker Brothers Life Sciences Capital, L.P., a limited partnership of which the sole general partner is Baker Brothers Life Sciences Capital, L.P., a limited partnership of which the sole general partner is Baker Brothers Life Sciences Capital, L.P.'s right to receive an allocation of a portion of the profits from Life Sciences.
- (6) After giving effect to the transaction reported herein, and as a result of their ownership interest in 14159 Capital (GP), LLC, Julian C. Baker and Felix J. Baker may be deemed to have an indirect pecuniary interest in the Issuer's shares of Common Stock reported in column 5 of Table I directly held by 14159, L.P. ("14159" and together with Life Sciences and 667, the "Funds"), a limited partnership of which the sole general partner is 14159 Capital, L.P., a limited partnership of which the sole general partner is 14159 Capital (GP), LLC,

Signatures 3

Edgar Filing: BAKER FELIX - Form 4

due to 14159 Capital, L.P.'s right to receive an allocation of a portion of the profits from 14159.

- Baker Bros. Advisors LP (the "Adviser") serves as the Investment Adviser to the Funds. In connection with the services provided by the Adviser, the Adviser receives an asset-based management fee that does not confer any pecuniary interest in the securities held by the Funds. Baker Bros. Advisors (GP) LLC (the "Adviser GP") is the Adviser's sole general partner. Julian C. Baker and Felix J. Baker are principals of the Adviser GP. The Adviser has complete and unlimited discretion and authority with respect to the investment and voting power of the securities held by the Funds. The general partners of the Funds relinquished to the Adviser all discretion and authority with respect to the investment and voting power of the securities held by the Funds.
- Julian C. Baker, Felix J. Baker, the Adviser GP and the Adviser disclaim beneficial ownership of the securities held directly by the Funds except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that any of Julian C. Baker, Felix J. Baker, the Adviser GP or the Adviser is a beneficial owner of such securities for purposes of Section 16 or any other purpose.
- (9) Pursuant to Instruction 4(c)(iii), this response has been left blank.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.