Edgar Filing: O'Brien Shelly - Form 4

O'Brien Shel	lly										
Form 4											
April 01, 202											
FORM	14 UNITE	D STATES		RITIES A shington,			NGE C	OMMISSION	OMB OMB Number:	PROVAL 3235-0287	
Check this box				HANGES IN BENEFICIAL OWNERS SECURITIES				NERSHIP OF	Expires: Estimated a burden hour response		
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 1	7(a) of the 1	Public U		ling Con	ipany	Act of	e Act of 1934, 1935 or Section 0	·		
(Print or Type I	Responses)										
			2. Issuer Name and Ticker or Trading Symbol ENVESTNET, INC. [ENV]				ıg	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)		Earliest Tra	_	• 1		(Chec	k all applicable)	
· · /	ACKER DRIV	· · ·	(Month/D 03/28/20	ay/Year)				Director X Officer (give below) Chie		Owner er (specify	
			Amendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person				
CHICAGO,	IL 60601							Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)		ar) Execution any	Execution Date, if Tany C		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	03/28/2019			F <u>(1)</u>	123	D	\$ 64.65	29,953	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addu	ess	Relationships							
1 0	Director	10% Owner	Officer	Other					
O'Brien Shelly 35 EAST WACKER DRIV SUITE 2400 CHICAGO, IL 60601	E		Chief Legal Officer						
Signatures									
/s/ Shelly O'Brien	04/01/2019								
<u>**</u> Signature of Reporting Person	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.