Khan Ahmad A. Form 4 August 10, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

08/08/2018

E

OMB Number: 3235-0287

Expires: January 31, 2005

OMB APPROVAL

Estimated average burden hours per response... 0.5

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person <u>*</u> Khan Ahmad A.

2. Issuer Name **and** Ticker or Trading Symbol

KLA TENCOR CORP [KLAC]

5. Relationship of Reporting Person(s) to

(Check all applicable)

EVP

below)

Issuer

below)

(Middle)

(First)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director ____ 10% Owner ____ N__ Officer (give title ____ Other (specify

C/O KLA-TENCOR CORPORATION, ONE TECHNOLOGY DRIVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

Person

MILPITAS, CA 95035

(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/08/2018		Code V S	Amount 517 (1)	. ,	Price \$ 116	13,406	D	
Common Stock	08/08/2018		S	452 (1)	D	\$ 116.011 (2)	12,954	D	
Common Stock	08/08/2018		S	200 (1)	D	\$ 117.41	12,754	D	
Common Stock	08/08/2018		S	217 (1)	D	\$ 116.07 (3)	12,537	D	
	08/08/2018		S	200 (1)	D		12,337	D	

Edgar Filing: Khan Ahmad A. - Form 4

Common Stock					\$ 118.022 (<u>4)</u>		
Common Stock	08/08/2018	S	200 (1)	D	\$ 117.005 (5)	12,137	D
Common Stock	08/08/2018	S	700 (1)	D	\$ 116.823 <u>(6)</u>	11,437	D
Common Stock	08/08/2018	S	392 (1)	D	\$ 118.13	11,045	D
Common Stock	08/08/2018	S	200 (1)	D	\$ 117.095 (7)	10,845	D
Common Stock	08/08/2018	S	1,200 (1)	D	\$ 116.183 (8)	9,645	D
Common Stock - Restricted Stock Units						63,171 (10)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

$\label{lem:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivativ	e		Securi	ties	(Instr. 5)
	Derivative				Securities	S		(Instr.	3 and 4)	
	Security				Acquired					
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	*	Title	Number	
									of	
				Code '	V (A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Khan Ahmad A. C/O KLA-TENCOR CORPORATION ONE TECHNOLOGY DRIVE MILPITAS, CA 95035

EVP

Signatures

/s/ Teri Little as Attorney-in-Fact for Ahmad A. Khan

08/10/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to the terms of a Rule 10b5-1 trading plan adopted by the Reporting Person on May 4, 2018.
- Represents the weighted average sales price for the shares. The sales prices ranged from \$116.00 to \$116.02. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (3) Represents the weighted average sales price for the shares. The sales prices ranged from \$116.06 to \$116.07. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (4) Represents the weighted average sales price for the shares. The sales prices ranged from \$118.00 to \$118.04. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (5) Represents the weighted average sales price for the shares. The sales prices ranged from \$117.00 to \$117.01. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- Represents the weighted average sales price for the shares. The sales prices ranged from \$116.58 to \$117.20. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (7) Represents the weighted average sales price for the shares. The sales prices ranged from \$117.09 to \$117.15. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (8) Represents the weighted average sales price for the shares. The sales prices ranged from \$116.00 to \$116.41. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (9) Each restricted stock unit ("RSU") represents a contingent right to receive one share of KLA-Tencor common stock.
 - Does not include performance-based RSUs, if any, held by the Reporting Person for which an assessment has not yet been made
- (10) regarding the achievement of the applicable performance goals. Any such holdings will be reported on a Form 4 within two business days of the date such assessment is made.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3