National General Holdings Corp.

Form 4 April 04, 2017

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Newgarden Thomas |  |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol National General Holdings Corp. [NGHC] | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |  |
|--|--|--|---|--|--|--|
| (Last)  C/O NGHC, 5 38TH FLOOR                             | (First) (Middle) HC, 59 MAIDEN LANE, OOR |  | 3. Date of Earliest Transaction (Month/Day/Year) 03/31/2017                               | Director 10% Owner Selection Other (specify below) Executive Vice President  |  |  |
| NEW YORK,  | (Street) NY 10038                        |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                                      | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                                 | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |         |               |  |  |   |  |
|--|---|--|---|---|---------|---------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securiti<br>Dibr Dispose<br>(Instr. 3, 4 | ed of ( | ` '           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock,<br>\$.01 par<br>value | 03/31/2017                              |  | M                                       | 40,100                                      | A       | \$ 10.5       | 45,284   | D  |   |  |
| Common<br>Stock,<br>\$.01 par<br>value | 03/31/2017                              |  | S                                       | 40,100                                      | D       | \$<br>23.6611 | 5,184  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Option to<br>Purchase<br>Common<br>Stock            | \$ 10.5   | 03/31/2017                              |   | M                                      | 40,100  | 06/06/2014   | 06/06/2023         | Common<br>Stock,<br>\$.01 par<br>value                        | 40,100                              |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Newgarden Thomas C/O NGHC 59 MAIDEN LANE, 38TH FLOOR NEW YORK, NY 10038

**Executive Vice President** 

### **Signatures**

/s/ Thomas

Newgarden 04/04/2017

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects a weighted average purchase price of \$23.6611 per share, at prices ranging from \$23.55 to \$23.73 per share. The reporting (1) person will provide, upon request by the staff of the Securities and Exchange Commission, the Company, or a security holder of the Company, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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