#### Edgar Filing: MacFarlane Katie - Form 4

MacFarlane Form 4	e Katie								
December 1	12, 2017								
FORM		STATES SECI	<b>IRITIES AND EXCH</b>	ANCE	COMMISSIO	N.T.	OMB APPROVAL		
Charlet			ashington, D.C. 20549		COMMISSIO	Number:	3235-0287 January 31		
Check t if no lor subject Section Form 4 Form 5	nger to <b>STATEN</b> 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type	Responses)								
			2. Issuer Name <b>and</b> Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer				
			reRx Pharmaceuticals I ]	Inc.	(Check all applicable)				
	· · · · ·	(Month 12/09/	of Earliest Transaction /Day/Year) /2017		X Director Officer (giv below)		% Owner her (specify		
			nendment, Date Original Ionth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
GLEN RO	CK, NJ 07452					More than One R			
(City)	(State)	(Zip) Ta	ble I - Non-Derivative Sec	urities Ac	quired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) Code Disposed of ( (Instr. 8) (Instr. 3, 4 and (A) or	or 2 D) ] d 5) [ ]	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	port on a separate line	e for each class of se	Code V Amount (D) curities beneficially owned	) Price	indirectly				
	1								

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	Date	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Options (to purchase shares of Common Stock)	\$ 1.45	12/09/2017		J <u>(1)</u>	38,114	<u>(1)</u>	12/09/2022	Common Stock	38,114
Common Stock Options (to purchase shares of Common Stock)	\$ 2					<u>(2)</u>	06/30/2022	Common Stock	25,000
Common Stock Options (to purchase shares of Common Stock)	\$ 3.9					<u>(3)</u>	01/18/2022	Common Stock	25,000
Common Stock Options (to purchase shares of Common Stock)	\$ 7.3775 ( <u>4</u> )					<u>(5)</u>	03/31/2021	Common Stock	30,770 (4)
Common Stock Options (to purchase shares of	\$ 6.396 <u>(4)</u>					<u>(6)</u>	08/18/2022	Common Stock	9,231 ( <u>4)</u>

Common Stock)						
Common Stock Options (to purchase shares of Common Stock)	\$ 8.125 ( <u>4)</u>		(7)	06/30/2022	Common Stock	6,154 ( <u>4)</u>

Other

## **Reporting Owners**

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer MacFarlane Katie C/O RESPIRERX PHARMACEUTICALS INC. Х 126 VALLEY ROAD, SUITE C GLEN ROCK, NJ 07452

### Signatures

/s/ Kathryn	
MacFarlane	12/12/2017
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On December 9, 2017, Ms. MacFarlane forgave all of the accrued but unpaid directors fees to which she was entitled as of September 30,

2017, an aggregate of \$55,000. On that date, the Company granted to Ms. MacFarlane options to purchase 38,114 shares of the (1) Company's common stock, with a black-scholes value of \$1.44 per option and an aggregate value of \$55,000. These Common Stock

(2) These Common Stock Options vested upon issuance.

Options vested upon issuance.

- These Common Stock Options vested in three installments: 25 percent on January 18, 2017 (the grant date), 25 percent on March 31, (3)2017, and 50 percent on June 30, 2017, and are all currently vested.
- The exercise price and number of shares of these securities have been adjusted to reflect the Company's 325-to-1 reverse stock split (4)effective September 1, 2016.
- These Common Stock Options vested in four equal installments: 25 percent on March 31, 2016 (the grant date), 25 percent on June 30, (5) 2016, 25 percent on September 30, 2016, and 25 percent on December 31, 2016, and are all currently vested.
- These Common Stock Options vested in four equal installments: 25 percent on December 31, 2015, 25 percent on March 31, 2016, 25 (6)percent on June 30, 2016, and 25 percent on September 30, 2016, and are all currently vested.
- The Common Stock Options vested in three installments: 50 percent on June 30, 2015, 25 percent on September 30, 2015, and 25 percent (7)on December 31, 2015, and are all currently vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.