Regional Management Corp.

Form 4

August 01, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Glen Capital Partners Focus Fund, L.P.

> (Last) (First)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Regional Management Corp. [RM]

3. Date of Earliest Transaction

(Month/Day/Year) 08/01/2016

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

(Street)

(State)

800 SOUTH STREET, SUITE 160

Filed(Month/Day/Year)

WALTHAM, MA 02453

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Acquired	<ol><li>Amount of</li></ol>	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	or(A) or Dis	sposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code (Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned	Direct (D)	Ownership
						Following	or Indirect	(Instr. 4)
					(4)	Reported	(I)	
					(A)	Transaction(s)	(Instr. 4)	
					or	(Instr. 3 and 4)		
			Code V	Amount	(D) Price	· ·		
Common								

Common Stock, par

(City)

value \$0.10 per share

08/01/2016

S

20,000 D

1,111,592

D

Stock") Common

Stock

("Common

14,873 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

### Edgar Filing: Regional Management Corp. - Form 4

# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration Da		7. Title Amou		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(ona., Day, Toal)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Under Securi	lying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	10% Owner	Officer	Other			
Glen Capital Partners Focus Fund, L.P. 800 SOUTH STREET SUITE 160 WALTHAM, MA 02453		X					
Glen Capital Partners LLC 800 SOUTH STREET SUITE 160 WALTHAM, MA 02453		X					
Glen Capital Partners GP LLC 800 SOUTH STREET SUITE 160 WALTHAM, MA 02453		X					
SUMME GREGORY L GLEN CAPITAL PARTNERS LLC 800 SOUTH STREET, SUITE 160 WALTHAM, MA 02453		X					

# **Signatures**

/s/ Gregory L. Summe, as the sole member of Glen Capital Partners LLC and Glen Capital Partners GP LLC for itself and as the general partner of Glen Capital Partners Focus Fund, L.P.

08/01/2016

\*\*Signature of Reporting Person

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are owned solely by Gregory L. Summe. The Fund, the GP and the Manager disclaim any beneficial ownership of the securities held solely by Mr. Summe.

#### **Remarks:**

This Form 4 is filed on behalf of Glen Capital Partners Focus Fund, L.P.(the "Fund"), Glen Capital Partners GP LLC (the "GP Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.