Edgar Filing: TRUCKSESS HERBERT A III - Form 4

TRUCKSESS HERBERT A III

Form 4 May 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

5. Relationship of Reporting Person(s) to

X Form filed by One Reporting Person Form filed by More than One Reporting

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per

response...

OMB APPROVAL

Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading TRUCKSESS HERBERT A III Issuer Symbol SAIA INC [SAIA] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) Director 10% Owner Other (specify Officer (give title 11465 JOHNS CREEK PARKWAY, 05/01/2018 below) SUITE 400 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

JOHNS CREEK, GA 30097

(State)

(Zin)

(City)

(City)	(State) (2	Table	I - Non-De	erivative Securition	ies Acqu	iired, Disposed o	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if Transaction		onAcquired (A) or		Securities	ies Form: Direct	
(Instr. 3)		any	Code	Disposed of (D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	5) (Owned	Indirect (I)	Ownership
					F	Following	(Instr. 4)	(Instr. 4)
				(4)	R	Reported		
				(A)	Τ	Γransaction(s)		
			Code V	Amount (D)	Price (Instr. 3 and 4)		
Common Stock	05/01/2018		A	1,363 A S	\$ 0 1	159,805	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8 1 2 ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>					<u>(1)</u>	<u>(1)</u>	Common Stock	2,199	

Reporting Owners

Reporting Owner Name / Address

Relationships

8. Price of Derivative Security (Instr. 5)

Director 10% Owner Officer Other

TRUCKSESS HERBERT A III 11465 JOHNS CREEK PARKWAY, SUITE 400 JOHNS CREEK, GA 30097

Signatures

/s/ Stephanie R. Maschmeier

05/03/2018

**Signature of Reporting

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of phantom stock become payable in the Company's common stock upon reporting person's termination of service as a Director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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