### Edgar Filing: CABILLOT RAYMOND E - Form 4

### CABILLOT RAYMOND E

Form 4

November 19, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* CABILLOT RAYMOND E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zin)

PRO DEX INC [PDEX]

(Check all applicable)

3033 EXCELSIOR

BOULEVARD, STE. 560

3. Date of Earliest Transaction

(Month/Day/Year) 11/16/2018

\_X\_\_ 10% Owner \_X\_\_ Director \_\_ Other (specify Officer (give title

below)

(Street)

(State)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Person

MINNEAPOLIS, MN 55416

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/16/2018		S	100	D	\$ 14.955	565,823	I	By Farnam Street Partners, L.P
Common Stock	11/16/2018		S	700	D	\$ 14.9	565,123	I	By Farnam Street Partners, L.P
Common Stock	11/19/2018		S	200	D	\$ 15.21	564,923	I	By Farnam

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								Street Partners, L.P
Common Stock	11/19/2018	S	300	D	\$ 14.91	564,623	I	By Farnam Street Partners, L.P
Common Stock	11/19/2018	S	240	D	\$ 14.9	564,383	I	By Farnam Street Partners, L.P
Common Stock	11/19/2018	S	4,460	D	\$ 14.7	559,923	I	By Farnam Street Partners, L.P

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	•
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date		Amount of		Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Ì
	Derivative				Securities			(Instr.	3 and 4)		•
Security					Acquired						Į
					(A) or						Į
					Disposed						•
					of (D)						
					(Instr. 3, 4, and 5)						
									Amount		
									Amount		
						Date Exercisable	Expiration Tit	Title	Or		
				Code V	(A) (D)						
				Code V	(A) (D)		*	Title	Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reporting Owners 2

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CABILLOT RAYMOND E
3033 EXCELSIOR BOULEVARD
X X
STE. 560
MINNEAPOLIS, MN 55416

FARNAM STREET PARTNERS LP /MN
3033 EXCELSIOR BOULEVARD
X
MINNEAPOLIS, MN 55416

## **Signatures**

/s/ Alisha Charlton as attorney-in-fact for Raymond E. Cabillot

\*\*Signature of Reporting Person

Date

/s/ Alisha Charlton as attorney-in-fact for Farnam Street

Partners, L.P.

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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