Edgar Filing: CARLISE THERESA - Form 4

| CARLISE THERE | ESA | | | | | | | | |
|--|---|--|--|--|--|---|--|--|---|
| Form 4 | 0 | | | | | | | | |
| December 21, 201 | 8 | | | | | | | 0145.4 | |
| FORM 4 | UNITED | STATES | | | | | COMMISSIO | | PPROVAL 3235-0287 |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. | Washington, D.C. 20549 CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ection 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section | | | | | Expires: Estimated burden hou response | urs per | | |
| <i>See</i> Instruction 1(b). | | 30(h) | of the I | nvestment | : Compan | y Act of 1 | 940 | | |
| (Print or Type Respon | ses) | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> CARLISE THERESA | | | 2. Issuer Name and Ticker or Trading Symbol Orbital Tracking Corp. [TRKK] | | | | 5. Relationship of Reporting Person(s) to Issuer | | |
| (Last) (I | First) (1 | Middle) | | C | 1 - |] | (Che | eck all applicabl | e) |
| (Last) (First) (Middle) 18851 N.E. 29TH AVE., SUITE 700 | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/18/2018 | | | Director 10% Owner X Officer (give title Other (specify below) CFO , Secretary & Treasurer | | | |
| (Street) AVENTURA, FL 33180 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (S | Stata) | (7in) | | | | | | | |
| (City) (C | State) | (Zip) | Tab | le I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned |
| | nsaction Date h/Day/Year) | 2A. Deema Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) Code V | 4. Securiti nAcquired Disposed (Instr. 3, 4 Amount | (A) or of (D) | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Reminder: Report on | a separate line | for each cl | ass of sec | urities bene | ficially own | ned directly | or indirectly. | | |
| | . separate nic | | | | Perso inform requir | ns who rest nation cont ed to respo ys a curre | spond to the colle ained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amou |
|-------------|-------------|---------------------|--------------------|-------------|-------------------------|-------------------------|-------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | orDerivative Securities | Expiration Date | Underlying Securi |
| Security | or Exercise | | any | Code | Acquired (A) or | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) Price of Derivative | | | (Month/Day/Year) (Instr. 8) | | Disposed of (D) (Instr. 3, 4, and 5) | | | | | |
|--|----------|------------|-----------------------------|--------|---|--------|---------------------|--------------------|-----------------|--------------------|
| | Security | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amo Nun Shar |
| Options to purchase Common Stock | \$ 1.5 | 06/14/2018 | | A | 50,000 | | <u>(1)</u> | 07/01/2021 | Common Stock | 50 |
| Options to purchase Common Stock | \$ 1.5 | 12/18/2018 | | J | | 37,500 | (2) | 07/01/2021 | Common Stock | 37 |
| Options to purchase Common Stock | \$ 0.15 | 12/18/2018 | | A | 162,500 | | (3) | 12/17/2023 | Common Stock | 162 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|----------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| CARLISE THERESA 18851 N.E. 29TH AVE., SUITE 700 AVENTURA, FL 33180 | | | CFO, Secretary & Treasurer | | | | |
| Signatures | | | | | | | |

| /s/ Theresa | |
|--|------------|
| Carlise | 12/21/2018 |
| <u>**</u> Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The subject options vest in equal quarterly installments starting July 1, 2018 over the next two years.
- (2) The subject options were cancelled.
- (3) The subject options are fully vested as of grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.