Ingersoll-Rand plc Form 4 March 02, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287 January 31, 2005

Estimated average

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**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SULTANA KEITH A			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			Ingersoll-Rand plc [IR]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
C/O INGERSOLL-RAND COMPANY, 800-E BEATY STREET			(Month/Day/Year) 02/28/2017	Director 10% Owner _X Officer (give title Other (specify below) below) Senior Vice President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			

Filed(Month/Day/Year)

DAVIDSON, NC 28036

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Applicable Line)

						_			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Ordinary			Code V	Amount	(D)	Price	· ·		
Ordinary Shares	02/28/2017		M	1,536	A	\$ 59.825	12,109	D	
Ordinary Shares	02/28/2017		M	3,318	A	\$ 67.055	15,427	D	
Ordinary Shares	02/28/2017		M	3,401	A	\$ 50.0025	18,828	D	
Ordinary Shares	02/28/2017		S	7,209	D	\$ 80.65 (1)	11,619	D	
Ordinary Shares (2)							13,301.2894	I	By Plan Trustee

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. F Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 59.825	02/28/2017		M	1,536	(3)	02/24/2024	Ordinary Shares	1,536
Stock Option (Right to Buy)	\$ 67.055	02/28/2017		M	3,318	<u>(4)</u>	02/02/2025	Ordinary Shares	3,318
Stock Option (Right to Buy)	\$ 50.0025	02/28/2017		M	3,401	<u>(5)</u>	02/09/2026	Ordinary Shares	3,401

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SULTANA KEITH A C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036

Senior Vice President

## **Signatures**

/s/ Sara Walden Brown - 03/02/2017 Attorney-in-Fact

\*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades ranging from \$80.63 to \$80.65 per share. The price reported above reflects the weighted
- (1) average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (2) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.
- (3) The option vests in three (3) equal installments beginning on February 25, 2015.
- (4) The option vests in three (3) equal installments beginning on February 3, 2016.
- (5) The option vests in three (3) equal installments beginning on February 10, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.