COLUMBUS MCKINNON CORP

Form 4 May 21, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

867.1757 (5)

D

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

Giesige Charles R

Common

Stcok

1. Name and Address of Reporting Person *

COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 05/19/2014 VP Corporate Development **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1. Title of 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common 19,207 D Stock Common 05/19/2014 304 (1) D $18,903 \frac{(2)}{}$ D 27.12 Stock Common 4,801 05/19/2014 A A \$0 $24,008 \frac{(4)}{}$ D (3) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat (Month/Day/Y	Date Exercisable and piration Date Ionth/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	526	
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,566	
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,868	
Non-Qualified Stock Option (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,837	
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	4,346 (10)	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Giesige Charles R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP Corporate Development			

Reporting Owners 2

Signatures

Charles R. 05/21/2014 Giesige

**Signature of
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 824 restricted stock units on 5/19/2014.
 - Includes 12,056 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,520 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 3,542 shares become fully vested and non-forfeitable
- (2) 33.33% per year for three years beginning 5/21/2014; 2,060 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010. 3,565 units units become fully vested and non-forfeitable on 5/23/2014 and the remaining 1,236 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
 - Includes 10,360 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,520 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014, 2,361 shares become fully vested and non-forfeitable 50%
- (4) per year for two years beginning 5/21/2015, 1,545 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer. Also includes 4,801 shares which become fully vested and non-forfeitable on 5/20/2016.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) All exercisable, subject to IRS limitations.
- (7) All exercisable, subject to IRS limitations.
- (8) Exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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