

HART JOHN

Form 4

November 30, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HART JOHN

(Last) (First) (Middle)

345 ENCINAL STREET

(Street)

SANTA CRUZ, CA 95060

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

PLANTRONICS INC /CA/ [PLT]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/29/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|---|---|--|---|---|
| COMMON<br>STOCK                       | 11/29/2017                              |   | S                                       | 5,140 D   | \$ 52.6 11,094   | D   |   |
| COMMON<br>STOCK                       | 11/29/2017                              |   | S                                       | 100 D   | \$ 52.615 10,994   | D   |   |
| COMMON<br>STOCK                       | 11/29/2017                              |   | S                                       | 811 D   | \$ 52.65 10,183  | D   |   |
| COMMON<br>STOCK                       | 11/29/2017                              |   | S                                       | 2,401 D   | \$ 52.5 7,782  | D   |   |
| COMMON<br>STOCK                       | 11/29/2017                              |   | S                                       | 100 D   | \$ 52.51 7,682   | D   |   |
|                                       | 11/29/2017                              |   | S                                       | 100 D   | 7,582  | D   |   |

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|              |            |   |       |   |           |       |   |
|--------------|------------|---|-------|---|-----------|-------|---|
| COMMON STOCK |            |   |       |   | \$ 52.515 |       |   |
| COMMON STOCK | 11/29/2017 | S | 300   | D | \$ 52.57  | 7,282 | D |
| COMMON STOCK | 11/29/2017 | S | 500   | D | \$ 52.67  | 6,782 | D |
| COMMON STOCK | 11/29/2017 | S | 100   | D | \$ 52.8   | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 3,000 | A | \$ 34.02  | 9,682 | D |
| COMMON STOCK | 11/29/2017 | S | 3,000 | D | \$ 52.55  | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 2,568 | A | \$ 47.75  | 9,250 | D |
| COMMON STOCK | 11/29/2017 | S | 2,568 | D | \$ 52.55  | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 1,483 | A | \$ 47.75  | 8,165 | D |
| COMMON STOCK | 11/29/2017 | S | 1,483 | D | \$ 52.5   | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 242   | A | \$ 47.75  | 6,924 | D |
| COMMON STOCK | 11/29/2017 | S | 242   | D | \$ 52.4   | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 989   | A | \$ 36.8   | 7,671 | D |
| COMMON STOCK | 11/29/2017 | S | 989   | D | \$ 52.4   | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 700   | A | \$ 36.8   | 7,382 | D |
| COMMON STOCK | 11/29/2017 | S | 700   | D | \$ 52.41  | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 300   | A | \$ 36.8   | 6,982 | D |
| COMMON STOCK | 11/29/2017 | S | 300   | D | \$ 52.43  | 6,682 | D |
| COMMON STOCK | 11/29/2017 | M | 15    | A | \$ 36.8   | 6,697 | D |
| COMMON STOCK | 11/29/2017 | S | 15    | D | \$ 52.45  | 6,682 | D |
|              | 11/29/2017 | M | 2,234 | A | \$ 36.8   | 8,916 | D |

COMMON  
STOCK

|                 |            |  |   |       |   |          |       |  |   |
|-----------------|------------|--|---|-------|---|----------|-------|--|---|
| COMMON<br>STOCK | 11/29/2017 |  | S | 2,234 | D | \$ 52.65 | 6,682 |  | D |
|-----------------|------------|--|---|-------|---|----------|-------|--|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|---|--|--|
|   |  |   |   |                                      |   | Date Exercisable<br>Expiration<br>Date                         | Title  |
|   |  |   |   | Code                                 | V (A) (D)   |  |  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 34.02   | 11/29/2017                              |   | M                                    | 3,000   | 08/05/2012 <sup>(1)</sup> 08/05/2018                           | COMMON<br>STOCK  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 36.8  | 11/29/2017                              |   | M                                    | 989   | 08/10/2013 <sup>(1)</sup> 08/10/2019                           | COMMON<br>STOCK  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 36.8  | 11/29/2017                              |   | M                                    | 700   | 08/10/2013 <sup>(1)</sup> 08/10/2019                           | COMMON<br>STOCK  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 36.8  | 11/29/2017                              |   | M                                    | 300   | 08/10/2013 <sup>(1)</sup> 08/10/2019                           | COMMON<br>STOCK  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 36.8  | 11/29/2017                              |   | M                                    | 15  | 08/10/2013 <sup>(1)</sup> 08/10/2019                           | COMMON<br>STOCK  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 36.8  | 11/29/2017                              |   | M                                    | 2,234   | 08/10/2013 <sup>(1)</sup> 08/10/2019                           | COMMON<br>STOCK  |
| Non-Qualified<br>Stock Option                       | \$ 47.75   | 11/29/2017                              |   | M                                    | 2,568   | 08/01/2014 <sup>(1)</sup> 08/01/2020                           | COMMON<br>STOCK  |

(right to buy)

|   |          |            |   |       |                           |            |                 |
|---|----------|------------|---|-------|---------------------------|------------|-----------------|
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 47.75 | 11/29/2017 | M | 1,483 | 08/01/2014 <sup>(1)</sup> | 08/01/2020 | COMMON<br>STOCK |
|---|----------|------------|---|-------|---------------------------|------------|-----------------|

|   |          |            |   |     |                           |            |                 |
|---|----------|------------|---|-----|---------------------------|------------|-----------------|
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 47.75 | 11/29/2017 | M | 242 | 08/01/2014 <sup>(1)</sup> | 08/01/2020 | COMMON<br>STOCK |
|---|----------|------------|---|-----|---------------------------|------------|-----------------|

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| HART JOHN<br>345 ENCINAL STREET<br>SANTA CRUZ, CA 95060 | X             |           |         |       |

## Signatures

|             |            |
|-------------|------------|
| JOHN H HART | 11/30/2017 |
|-------------|------------|

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This option shall become exercisable in installments cumulatively with respect to twenty-five percent (25%) of the Optioned Stock one year after the date of grant and as to an additional six and one-quarter percent (6.25%) of the Optioned Stock each three months thereafter, if on such dates Optionee has remained in Continuous Status as a Director, so that one hundred percent (100%) of the Optioned Stock shall be exercisable four years after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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