

PLANTRONICS INC /CA/

Form 4

November 12, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
KANNAPPAN S KENNETH

(Last) (First) (Middle)

345 ENCINAL STREET

(Street)

SANTA CRUZ, CA 95060

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

PLANTRONICS INC /CA/ [PLT]

3. Date of Earliest Transaction
(Month/Day/Year)

11/11/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO - CORPORATE - GLOBAL.CEO.

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	11/11/2014		M	100 A \$ 24.11	151,141	D	
COMMON STOCK	11/11/2014		S	100 D \$ 52.225	151,041	D	
COMMON STOCK	11/11/2014		M	7 A \$ 24.11	151,048	D	
COMMON STOCK	11/11/2014		S	7 D \$ 52.28	151,041	D	
COMMON STOCK					200	I	by Son

COMMON
STOCK

14,946

I

by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	650	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	500	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	500	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	500	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	400	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	354	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014		M	200	10/30/2010 ⁽¹⁾ 10/30/2016	COMMON STOCK

Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	200	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	100	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	11/11/2014	M	7	10/30/2010 ⁽¹⁾	10/30/2016	COMMON STOCK

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KANNAPPAN S KENNETH 345 ENCINAL STREET SANTA CRUZ, CA 95060	X		CEO - CORPORATE - GLOBAL.CEO.	

Signatures

S KENNETH
KANNAPPAN 11/11/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Option was granted by the Issuer pursuant to a Rule 16-b plan and becomes exercisable as follows: 33.3% of the shares subject to the option vest 12 months from date of grant; and 1/36th of the shares subject to option vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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