

BIOLIFE SOLUTIONS INC
Form SC 13D/A
August 14, 2018
SCHEDULE 13D

Under the Securities Exchange Act of 1934

(Amendment No. 6)

BioLife Solutions, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

09062W204

(CUSIP Number)

Michael Rice, Chief Executive Officer
3303 Monte Villa Parkway, Suite 310
Bothell, Washington 98021

(425) 402-1400

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

July 23, 2018

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

SCHEDULE 13D

CUSIP NO. 09062W204

1. NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Walter Villiger

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a)
(b)

3. SEC USE ONLY

4. SOURCE OF FUNDS*

OO

5. CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

6. CITIZENSHIP OR PLACE OF ORGANIZATION

Switzerland

NUMBER OF 7. SOLE VOTING POWER **5,860,428**
SHARES

BENEFICIALLY 8. SHARED VOTING POWER **0**
OWNED BY

EACH 9. SOLE DISPOSITIVE POWER **5,860,428**
REPORTING

PERSON WITH 10. SHARED DISPOSITIVE POWER **0**

11. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

5,860,428 shares, consisting of:

(a) **3,533,217 shares of common stock held indirectly through Reporting Person's wholly-owned entity named WAVI Holding AG ("WAVI")**

(b) **2,327,211 shares of common stock issuable upon exercise of warrants held indirectly through WAVI**

12. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN
SHARES

13. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) *
33.5%
14. TYPE OF REPORTING PERSON*
IN
-

SCHEDULE 13D

CUSIP NO. 09062W204

1. NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

WAVI Holding AG

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a)
(b)

3. SEC USE ONLY

4. SOURCE OF FUNDS*

OO

5. CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

6. CITIZENSHIP OR PLACE OF ORGANIZATION

Switzerland

NUMBER OF 7. SOLE VOTING POWER **5,860,428**
SHARES

BENEFICIALLY 8. SHARED VOTING POWER **0**

OWNED BY

EACH 9. SOLE DISPOSITIVE POWER **5,860,428**

REPORTING

PERSON WITH 10. SHARED DISPOSITIVE POWER **0**

11. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

5,860,428 shares, consisting of:

(a) 3,533,217 shares of common stock held directly by Reporting Person

(b) 2,327,211 shares of common stock issuable upon exercise of warrants held directly by Reporting Person

12. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN
SHARES

13. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) *
33.5%

14. TYPE OF REPORTING PERSON*
CO
-

Item 5. Interest in Securities of the Issuer.

Item 5 is hereby amended and supplemented as follows:

On July 23, 2018, WAVI Holding AG (“WAVI”) closed a transaction pursuant to which WAVI sold 214,286 common shares to institutional investors.

As of the date hereof, Mr. Villiger beneficially owns 5,860,428 shares of the Issuer, consisting of 3,533,217 shares of common stock held indirectly through WAVI and 2,327,211 shares of common stock issuable upon exercise of warrants held indirectly through WAVI. Such shares represent a total of 33.5% of the Issuer’s outstanding shares of common stock.

Mr. Villiger has the sole power to vote or direct the vote of, and to dispose or direct the disposition of, the entirety of the number of shares indicated immediately above. Except WAVI with respect to the securities held by WAVI, there are no other persons known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, such securities.

As of the date hereof, WAVI beneficially owns 5,860,428 shares of the Issuer, consisting of 3,533,217 shares of common stock held directly through WAVI and 2,327,211 shares of common stock issuable upon exercise of warrants held directly through WAVI. Such shares represent a total of 33.5% of the Issuer’s outstanding shares of common stock.

WAVI has the sole power to vote or direct the vote of, and to dispose or direct the disposition of, the entirety of the number of shares indicated immediately above. Except Mr. Villiger, there are no other persons known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, such securities.

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: August 13, 2018 /s/ Walter Villiger
Walter Villiger

Dated: August 13, 2018 **WAVI HOLDING AG**

/s/ Walter Villiger
Walter Villiger, Chairman