Edgar Filing: On Deck Capital, Inc. - Form 4

On Deck Ca Form 4 May 12, 201 FORN	б П Л	FS SECU	NTIFS A	ND FXC	'HAN	JGE (OMMISSION	• · · · • ·	PPROVAL		
		UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287		
Check th if no long subject to Section 1 Form 4 c Form 5	statement of STATEMENT 16. or Filed pursuant	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							January 31, 2005 d average ours per 0.5		
obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).											
(Print or Type]	Responses)										
1. Name and A Hartwig Da	Symbol	2. Issuer Name and Ticker or Trading Symbol On Deck Capital, Inc. [ONDK]				5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (Middle)		3. Date of Earliest Transaction (Check				x all applicable)				
			(Month/Day/Year) 05/11/2016				X_ Director10% Owner Officer (give titleOther (specify below) below)				
(Street) 4. If Amendment Filed(Month/Day/				-			Applicable Line) _X_ Form filed by (_X_ Form filed by One Reporting Person			
PALO ALT	O, CA 94304						Form filed by M Person	Nore than One Re	eporting		
(City)	(State) (Zip)	Tab	le I - Non-D	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution Date, if T			on(A) or Dis (D)	sposed	of	SecuritiesHBeneficially(OwnedIFollowing(Reported(6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common	05/11/2017			Amount 19,272	or (D)	Price	Transaction(s) (Instr. 3 and 4)	D			
Stock	05/11/2016		А	(1)	А	\$0	25,527	D			
Common Stock							5,686,354	Ι	See footnote (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 4.67	05/11/2016		А	27,650	(3)	05/10/2026	Common Stock	27,650

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner Officer		Other			
Hartwig David C/O SAPPHIRE VENTURES 3408 HILLVIEW AVENUE PALO ALTO, CA 94304	Х						
Signatures							
/s/ Robert A. Zuccaro, by powe attorney	er of	05/12/2016					
**Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported shares are represented by restricted stock units which vest in full on the earlier of the date of the Issuer's next annual meeting of stockholders or May 11, 2017.

The reported shares are held of record by Sapphire Ventures Fund I, L.P. ("Sapphire Ventures LP"). Sapphire Ventures (GPE) I, L.L.C. ("Sapphire GP") is the general partner of Sapphire Ventures LP. The Reporting Person is a managing member of Sapphire GP and may be deemed to have an indirect pecuniary interest in such shares through his interest in the Sapphire GP. His exact pecuniary interest therein

(2) deemed to have an induced peculiary interest in such shares through its interest in the sapplife OF. His exact peculiary interest therein is not readily determinable because it is subject to several variables, including without limitation, the rates of return of Sapphire Ventures LP overall with respect to its indirect investment in the Issuer. The Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of his pecuniary interest therein.

(3) Shares subject to the option vest in full on the earlier of the date of the Issuer's next annual meeting of stockholders or May 11, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.