Edgar Filing: Bruce Ellie - Form 4

Dana Elli

Bruce Ellie												
Form 4												
November 2	21, 2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL				
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287			
Check the if no lon								Expires:	January 31,			
subject t		TEMENT O	F CHAN	IGES IN BENEFICIAL OWNERSHIP OF					Estimated average			
Section	SECUR	SECURITIES					rs per					
Form 4 or Form 5 Eiled pursuant to Section 16(a) of the Securities Exchange Act of 103								response	0.5			
obligatio		^					•	e Act of 1934,				
may con	section			•	•	· ·	•	f 1935 or Section	n			
See Inst	ruction	50(n)) of the m	ivestment	Compar	IY AC	1 01 194	FO .				
1(b).												
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> 2. Iss			2. Issue	. Issuer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to				
Bruce Ellie	Symbol					Issuer						
	Heritage-Crystal Clean, Inc. [HCCI]					(Check all applicable)						
(Last)	(First)	(Middle)	3. Date of	f Earliest T	ransaction			(ence	k un applicable)			
			(Month/I	Month/Day/Year)				Director 10% Owner				
	ГAGE-CRYST		11/20/2	018				XOfficer (give titleOther (specify below)				
CLEAN, INC., 2175 POINT								/	VP Business Management			
BOULEVA	ARD, SUITE 3	75										
				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
				nth/Day/Year	r)			Applicable Line) X Form filed by One Penorting Person				
								_X_Form filed by One Reporting Person Form filed by More than One Reporting				
ELOIN, IL	00123							Person				
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction			3.	4. Securi		-	5. Amount of	6. Ownership			
Security (Month/Day/Year) Executi (Instr. 3) any			on Date, if	Code	on(A) or D (Instr 3)	-		Securities Beneficially	Form: Direct Ind (D) or Ber	Indirect Beneficial		
(1130.3)	Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)					Owned	Ownership					
								Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported Transaction(s)				
				a		or		(Instr. 3 and 4)				
				Code V	Amount	(D)	Price \$					
Common	11/20/2018			S	4,000	D	ф 26.51	80,500	D			
Stock	11/20/2010			5	1,000	D	(1)	00,000	D			
							_					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Bruce Ellie C/O HERITAGE-CRYSTAL CLEAN, IN 2175 POINT BOULEVARD, SUITE 375 ELGIN, IL 60123	C.		VP Business Management		
Signatures					
/s/ Mark DeVita, as Attorney-in-Fact	11/21/2018				
**Signature of Reporting Person	Date				
Explanation of Respons	ses:				

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$26.07 to \$27.08, inclusive. The reporting person undertakes to provide Heritage-Crystal Clean, Inc. any security holder of Heritage-Crystal Clean, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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