

Firsthand Technology Value Fund, Inc.  
Form 8-K  
July 02, 2018

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the**  
**Securities Exchange Act of 1934**

**Date of Report: July 2, 2018**  
(Date of earliest event reported)

**Firsthand Technology Value Fund, Inc.**  
(Exact name of registrant as specified in its charter)

**Maryland**  
(State or other jurisdiction  
of incorporation)

**814-00830**  
(Commission File Number)

**27-3008946**  
(IRS Employer  
Identification Number)

**150 Almaden Blvd., Suite 1250**

**San Jose, CA**  
(Address of principal executive offices)

**95113**  
(Zip Code)

**(800) 976-8776**  
(Registrant's telephone number, including area code)

**Not Applicable**  
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

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- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 8.01. Other Events**

On July 2, 2018, Firsthand Technology Value Fund, Inc. issued a press release announcing today that Pivotal Systems (“Pivotal”), the Fund’s largest portfolio holding, has completed its initial public offering and listing on the Australian Securities Exchange (“ASX”). Pivotal closed the sale of 28,785,008 CHESS Depository Interests (“CDI”) in Australia on Thursday, June 28, 2018, with each CDI representing one share of Pivotal common stock, at an offer price of A\$1.86 (Australian dollars) per CDI. Pivotal’s CDIs were listed and formally began trading on the ASX today, closing at a price of A\$2.59 per CDI, an increase of 39.2% from the IPO price. At the current exchange rate, the closing price equates to approximately US\$1.90 (US dollars) per CDI. Pivotal’s CDIs trade on the ASX under the symbol PVS.

**Item 9.01. Financial Statements and Exhibits**

**(a) Financial statements:**

None

**(b) Pro forma financial information:**

None

**(c) Shell company transactions:**

None

**(d) Exhibits**

99.1 Press Release dated July 2, 2018.

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: July 2, 2018

**FIRSTHAND  
TECHNOLOGY  
VALUE FUND,  
INC.**

By: /s/ Kevin  
Landis  
Kevin Landis  
*President*

**Exhibit Index**

**Exhibit No.    Description**

99.1	Press release dated July 2, 2018
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