

AMYRIS, INC.
Form 3
August 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

WEAVER KAREN

(Last) (First) (Middle)

C/O AMYRIS, INC., 5885
HOLLIS ST., SUITE 100

(Street)

EMERYVILLE, CA 94608

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/01/2013

3. Issuer Name and Ticker or Trading Symbol
AMYRIS, INC. [AMRS]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Principal Accounting Officer

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

8,778 ⁽¹⁾

D

^

Common Stock

26,114 ⁽²⁾

D

^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(3)</u>	06/12/2021	Common Stock	27,000	\$ 16 <u>(4)</u>	D	Â
Stock Option (Right to Buy)	Â <u>(5)</u>	04/08/2022	Common Stock	22,500	\$ 3.86	D	Â
Stock Option (Right to Buy)	Â <u>(6)</u>	04/08/2022	Common Stock	3,000	\$ 3.86	D	Â
Stock Option (Right to Buy)	Â <u>(7)</u>	07/21/2023	Common Stock	27,000	\$ 2.79	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WEAVER KAREN C/O AMYRIS, INC. 5885 HOLLIS ST., SUITE 100 EMERYVILLE, CA 94608	Â	Â	Â Principal Accounting Officer	Â

Signatures

/s/ Karen Weaver by Nicholas Khadder,
Attorney-in-Fact

08/09/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock unit (RSU) award granted on April 9, 2012, with a 3-year annual vesting schedule, under which the first 1/3rd of the units vested on April 9, 2013 less 1,222 shares withheld to satisfy the Reporting Person's tax withholding obligation upon settlement following the first vesting date.
 - (2) Represents restricted stock unit (RSU) award granted on October 15, 2012, with a 3-year annual vesting schedule, under which the first 1/3rd of the units vested on April 1, 2013 less 3,886 shares withheld to satisfy the Reporting Person's tax withholding obligation upon settlement following the first vesting date.
 - (3) The stock option vested as to 1/4th of the total number of shares subject to the option on May 2, 2012, and thereafter vests as to 1/48th of the total number of shares subject to the option in equal monthly installments.
 - (4) The stock option was originally granted with an exercise price of \$28.61 per share. The stockholders approved at the Issuer's annual meeting of stockholders held on May 24, 2012 a one-time stock option re-pricing program in which the Issuer's employees with eligible stock options would receive a one-time reduction in the exercise price for such options to \$16.00 per share.
 - (5) The stock option vested as to 1/48th of the total number of shares subject to the option on May 9, 2012, and thereafter vests as to 1/48th of the total number of shares subject to the option in equal monthly installments.
 - (6) The stock option vested as to 100% of the total number of shares subject to the option on April 9, 2013.
 - (7) The stock option vests as to 1/4th of the total number of shares subject to the option on April 1, 2014, and thereafter vests as to 1/48th of the total number of shares subject to the option in equal monthly installments.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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