Edgar Filing: Amtrust Financial Services, Inc. - Form 15-12B

Amtrust Financial Services, Inc. Form 15-12B February 07, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTIY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934.

Commission File Number 001-33143

AmTrust Financial Services, Inc. (Exact name of registrant as specified in its charter)

59 Maiden Lane, 43rd Floor New York, NY 10038 (212) 220-7120

(Address, including zip code, and telephone number, including area code, of registrant's principal executive offices)

6.75% Non-Cumulative Preferred Stock, Series A

Depositary Shares, each representing 1/40th of a share of 7.25% Non-Cumulative Preferred Stock, Series B Depositary Shares, each representing 1/40th of a share of 7.625% Non-Cumulative Preferred Stock, Series C Depositary Shares, each representing 1/40th of a share of 7.50% Non-Cumulative Preferred Stock, Series D Depositary Shares, each representing 1/40th of a share of 7.75% Non-Cumulative Preferred Stock, Series E Depositary Shares, each representing 1/40th of a share of 6.95% Non-Cumulative Preferred Stock, Series F 7.25% Subordinated Notes due 2055 7.50% Subordinated Notes due 2055 (Title of each class of securities covered by this Form)

None

(Titles of all other classes of securities for which a duty to file reports under Section 13(a) or 15(d) remains)

Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

Rule 12g-4(a)(1) Rule 12g-4(a)(2) Rule 12h-3(b)(1)(i) xRule 12h-3(b)(1)(ii) o Rule 15d-6 Rule 15d-22(b)

Edgar Filing: Amtrust Financial Services, Inc. - Form 15-12B

Approximate number of holders of record as of the certification or notice date:

6.75% Non-Cumulative Preferred Stock, Series A	Less than 300
Depositary Shares, each representing $1/40^{th}$ of a share of 7.25% Non-Cumulative Preferred Stock, Series B	es Less than 300
Depositary Shares, each representing 1/40th of a share of 7.625% Non-Cumulative Preferred Stock, Series C	Less than 300
Depositary Shares, each representing 1/40th of a share of 7.50% Non-Cumulative Preferred Stock, Series D	Less than 300
Depositary Shares, each representing 1/40th of a share of 7.75% Non-Cumulative Preferred Stock, Series E	Less than 300
Depositary Shares, each representing 1/40th of a share of 6.95% Non-Cumulative Preferred Stock, Series F	Less than 300
7.25% Subordinated Notes due 2055	Less than 300
7.50% Subordinated Notes due 2055	Less than 300

Pursuant to the requirements of the Securities Exchange Act of 1934, AmTrust Financial Services, Inc. has caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

Date: February 7, 2019 By: /s/ Stephen Ungar

Name: Stephen Ungar

Title: Senior Vice President, General Counsel and Secretary