

CONCIERGE TECHNOLOGIES INC

Form 3

February 06, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Schoenberger Scott

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/26/2015

3. Issuer Name and Ticker or Trading Symbol

CONCIERGE TECHNOLOGIES INC [CNCG]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

1714 14TH STREET

(Street)

SANTA

MONICA, CA 90404

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

133,333,333

I

By Schoenberger Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

Expiration Date

Title

Amount or Number of

Shares (I)
(Instr. 5)

Series B Voting,
Convertible Preferred Stock ⁽¹⁾ ⁽²⁾ ⁽²⁾ Common Stock 216,343,340 \$ ⁽²⁾ I By Schoenberger Family Trust

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|-------------------------------------|-------------------------------------|--------------------------|--------------------------|
| | Director | 10% Owner | Officer | Other |
| Schoenberger Scott 1714 14TH STREET SANTA MONICA, CA 90404 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Signatures

/s/Scott Schoenberger 02/06/2015

⁽¹⁾Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On January 26, 2015, pursuant to a securities purchase agreement with Concierge Technologies, Inc. (the "Company"), Schoenberger Family Trust acquired 10,817,167 shares of Series B Voting, Convertible Preferred Stock.
Each share of the Series B Voting, Convertible Preferred Stock is convertible into 20 shares of common stock at any time (except as restricted below), at the holder's election, and has no expiration date. A holder of shares of Series B Voting, Convertible Preferred Stock may not exercise its conversion rights until after 270 days after the date of issuance of the shares and, if exercised, must be exercised with regard to all shares of the series held by such holder and, provided further, no conversion shall take place until the Company has amended its Articles of Incorporation to ensure there are authorized shares of common stock at least sufficient to allow all shares of this Series to be converted into common stock.
- (2)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.