Edgar Filing: TRUST FBO VON REIS ALTSCHUL SERENA UW ALTSCHUL FRANK - Form 5

TRUST FBO VON REIS ALTSCHUL SERENA UW ALTSCHUL FRANK

Form 5

February 04, 2008

FORM	15							OMB AF	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB Number:	3235-036		
Check this box if washington, D. no longer subject				.C. 20549				Expires:	January 3 ⁻ 200	
to Section Form 4 or 5 obligation may conti	a 16. Form ANN ons	NNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated average burden hours per response 1		
See Instru 1(b). Form 3 H. Reported Form 4 Transactio Reported	Filed pur oldings Section 17(s	suant to Section 1 a) of the Public U 30(h) of the Ir	tility Holdin	ng Compa	any A	Act of 1		ı		
TRUST FB	Address of Reporting 1 O VON REIS L SERENA UW	Symbol	Name and Tic		ling		. Relationship of ssuer			
ALTSCHU	L FRANK	INVES	TORS CO I	O INC [GAM] (Chec				ck all applicable)		
(Last)	(First) (N	nent for Issuer's Day/Year) 2007	below)				10% Owner titleX Other (specify below) tee is a director			
	ROOK MANAGE 22 EAST 42ND S' 0						Trust	ce is a director		
			endment, Date nth/Day/Year)	/Year)				oint/Group Reporting k applicable line)		
NEW YOR	K, NY 10168					_	X_ Form Filed by (Form Filed by M erson	One Reporting Pe More than One Re		
(City)	(State)	(Zip) Tab	le I - Non-Der	ivative Sec	curiti	es Acquii	red, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ned 3. n Date, if Transaction Code	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)	(Instr. 4)		
Common Stock	12/27/2007	Â	J <u>(1)</u>	749	A	\$ 35.27	5,349	D (2)	Â	
5.95% Pfd Stock	Â	Â	Â	Â	Â	Â	2,000	D	Â	
	port on a separate line						ection of infor		SEC 227	

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

of 9. ve of D

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price o	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	int of	Derivativ	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•		Secur	ities	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
					(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	cisable Date		of		
					(A) (D)				Shares		
					(A)(D)				SHares		

Reporting Owners

	Relationships					
Reporting Owner Name / Address		10% Owner	Officer	Other		
TRUST FBO VON REIS ALTSCHUL SERENA UW ALTSCHUL FRANK						
% OVERBROOK MANAGEMENT CORP 122 EAST 42ND STREET SUITE 2500	Â	Â	Â	Trustee is a director		
NEW YORK, NY 10168						

Signatures

Arthur G.
Altschul, Jr.

**Signature of Date

Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received as payment of dividends
- (2) Trustee is a GAM director

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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