### Edgar Filing: AMERICAN POWER GROUP Corp - Form 4

#### AMERICAN POWER GROUP Corp

Form 4

September 19, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **OMB APPROVAL**

OMB 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \* COPPA CHARLES

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

AMERICAN POWER GROUP Corp

[APGI]

(Check all applicable)

09/16/2014

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title below)

Director

Other (specify below) **CFO** 

10% Owner

AMERICAN POWER GROUP CORP, 7 KIMBALL LANE BLDG 1

(Street)

(State)

09/16/2014

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LYNNFIELD, MA 01940

6. Ownership 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

5,225

617,370 0.58 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

09/16/2014

P

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day ve s i	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivati Security (Instr. 5)	
				Code '	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Common Stock Purchase Options	(1)					<u>(1)</u>	<u>(1)</u>	Common Stock	707,000		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

COPPA CHARLES AMERICAN POWER GROUP CORP 7 KIMBALL LANE BLDG 1 LYNNFIELD, MA 01940

CFO

### **Signatures**

/s/ Charles E
Coppa

\*\*Signature of Date

\*\*Signature of Da Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Options to purchase 125,000 shares of common stock have exercise prices ranging from \$.45 to \$.80 per share, vest immediately upon date of grant and have a term of 10 years from date of grant. Options to purchase 582,000 shares of common stock hav exercise prices ranging from \$.23 to \$.36 per share, vest equally over a 5 year term from date of grant and have a 10 year term from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2