Edgar Filing: GENERAL ELECTRIC CO - Form 4

| | LECTRIC CO | | | | | | | | | | |
|--|---|---------------|---|----------------------------|--|---|--|--|---|--|--|
| Form 4 | | | | | | | | | | | |
| July 05, 2016 | | | | | | | | | PPROVAL | | |
| FORM | 4 UNITED | STATES | SECU | RITIES A | AND EX | CHANGE | E COMMISSIO | | | | |
| | | | | shington | | | | Number: | 3235-0287 | | |
| Check this box | | | | | | | | | January 31, 2005 | | |
| subject to Section 16. Form 4 or | | | | | | | | | average urs per | | |
| Form 5 obligation may contin <i>See</i> Instruct 1(b). | s Section 17(| a) of the l | Public U | Jtility Ho | lding Co | | nge Act of 1934, t of 1935 or Secti 1940 | | . 0.0 | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Ac DEKKERS M | 2. Issuer Name and Ticker or Trading Symbol GENERAL ELECTRIC CO [GE] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| (Last) | (First) (I | Middle) | | | | | (Che | eck all applicabl | e) | | |
| × / | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | X_ Director 10% Owner Officer (give title Other (specify | | | | |
| GENERAL I COMPANY, TURNPIKE | , 3135 EASTON | I | 07/01/2 | 2016 | | | below) | below) | ici (specify | | |
| | | | | endment, D onth/Day/Yea | - | al | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| | | | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tat | ole I - Non- | Derivative | e Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | | |
| | 2. Transaction Date Month/Day/Year) | Execution any | Date, if | Code (Instr. 8) | | (A) or l of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code v | Amount | (D) Price | | | | | |
| Reminder: Repo | ort on a separate line | e for each cl | ass of sec | urities bene | - | | - | | | | |
| | | | | | inforı requi | mation con red to resp ays a curre | spond to the colle tained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |
| | Tab | | | | | sposed of, or convertible | Beneficially Owned securities) | ì | | | |

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Pric |
|-------------|------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|---------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Deriva |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. | | | (Month/Day/Year) | | (Instr. 3 and 4) | | Securi (Instr. | |
|--|---|------------|-------------------------|-----------------|---|-------|------------------|---------------------|--------------------|-----------------|--|-------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Deferred Fee Phantom Stock Units (1) | <u>(2)</u> | 07/01/2016 | | A | | 1,357 | | (3) | (3) | Common Stock | 1,357 | \$ 30 |

er

Reporting Owners

| | Relationships | | | | | | |
|---|---------------|--------------|---------|------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Othe | | | |
| DEKKERS MARIJN E GENERAL ELECTRIC COMPANY 3135 EASTON TURNPIKE FAIRFIELD, CT 06828 | Х | | | | | | |
| Signatures | | | | | | | |
| Brian Sandstrom on behalf of Marinus Dekkers | 07/05/2016 | | | | | | |
| **Signature of Reporting Person | | I | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired at a price of \$30.39 per unit pursuant to the terms of the 2003 Non-Employee Director Compensation Plan. Payable beginning one year after termination of service as a director.
- (2) Each unit of phantom stock is the economic equivalent of one share of common stock.
- (3) Payable beginning one year after termination of service as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.