

NOVICH NEIL S  
Form 4  
April 02, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NOVICH NEIL S

(Last) (First) (Middle)

ONE BATESVILLE BOULEVARD

(Street)

BATESVILLE, IN 47006

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Hillenbrand, Inc. [HI]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/29/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)

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	Derivative Security		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (Deferred Stock Award 2/24/10)	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			16		<u>(3)</u>	<u>(3)</u>	Common Stock	16
Restricted Stock Units (Deferred Stock Award) 2/23/11	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			25		<u>(3)</u>	<u>(3)</u>	Common Stock	25
Restricted Stock Units (Deferred Stock Award) 2/22/12	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			24		<u>(3)</u>	<u>(3)</u>	Common Stock	24
Restricted Stock Units (Deferred Stock Award) 2/27/13	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			22		<u>(3)</u>	<u>(3)</u>	Common Stock	22
Restricted Stock Units (Deferred Stock Award 2/26/14)	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			17		<u>(3)</u>	<u>(3)</u>	Common Stock	17
Restricted Stock Units (Deferred Stock Award 2/25/15)	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			17		<u>(3)</u>	<u>(3)</u>	Common Stock	17
Restricted Stock Units (Deferred Stock Award 2/24/2016)	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			20		<u>(3)</u>	<u>(3)</u>	Common Stock	20
Restricted Stock Units (Deferred Stock Award) 2/22/2017	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			14		<u>(3)</u>	<u>(3)</u>	Common Stock	14
Restricted Stock Units (Deferred Stock Award) 2/15/2018	<u>(1)</u>	03/29/2019	A <sup>(2)</sup>			12		<u>(3)</u>	<u>(3)</u>	Common Stock	12

Restricted Stock Units (Deferred Stock Award) 2/14/2019	<u>(1)</u>	03/29/2019	A <u>(2)</u>	12	<u>(3)</u>	<u>(3)</u>	Common Stock	12
RESTRICTED STOCK UNITS CUMULATIVE TOTAL	<u>(1)</u>				<u>(3)</u>	<u>(3)</u>	Common Stock	179 <u>(4)</u>
Deferred Director Fees	<u>(1)</u>	03/29/2019	A <u>(2)</u>	11	<u>(5)</u>	<u>(5)</u>	Common Stock	11

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NOVICH NEIL S ONE BATESVILLE BOULEVARD BATESVILLE, IN 47006				

## Signatures

/s/ Michelle A. Stratton as Attorney-In-Fact for Neil S.  
Novich

04/02/2019

                     \*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Conversion of Exercise Price of Derivative Securities is 1-for-1.

(2) Restricted Stock Units are entitled to dividend equivalent rights which accrue on dividend record dates.

These stock units vest immediately upon grant. However, for awards granted prior to May 2014, directors must hold the underlying shares of common stock of the Company for six months after they cease serving as a director; for awards granted in May 2014 or later, directors must hold the underlying shares of common stock of the Company for one day after the director ceases serving.

(3) This amount represents cumulative total of all Restricted Stock Units (deferred stock awards) granted to reporting person. This cumulative total does not represent additional Restricted Stock Units granted to the reporting person, but is merely a total of all awards reported separately on this SEC Form 4.

(5) These stock units will be automatically converted into shares of stock upon the reporting person's retirement from the Board of Directors of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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