NORDSON CORP Form 4

November 23, 2016

## FORM 4 UNITED S

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

*See* Instruction 1(b).

(Print or Type Responses)

1. Name and A	Symbol	2. Issuer Name and Ticker or Trading Symbol NORDSON CORP [NDSN]			5. Relationship of Reporting Person(s) to Issuer			
(Last)				(Cl	(Check all applicable)			
(Last)	(First) (M	,	Earliest Tr	ansaction	D:	10	7/ 0	
28601 CLE	MENS ROAD	(Month/D 11/21/20	•		below)	ive title Oth below) General Counsel &		
	4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
WESTLAK	E, OH 44145	Filed(Mon	th/Day/Year	)		by One Reporting F y More than One F		
(City)	(State) (Z	Zip) Table	e I - Non-D	erivative Securities A	Acquired, Disposed	l of, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired (A) or		Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	Disposed	of (D	)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
				(4)		Reported			
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common	11/21/2016		A	1,100	A	\$ 0	43,424	D (1)	
Stock	11/21/2010		11	1,100	11	ΨΟ	75,727	<u> </u>	
Common	11/01/0016			2 200		Φ.Ω	45.760	D (2)	
Stock	11/21/2016		A	2,200	A	\$ 0	45,762	D (2)	
									Ву
Common									· ·
Common							2,115	I	Company
Stock									ESOP Plan
									(3)
Common							503	I	By
Stock									Company
									z z z z p willy

Savings

Plan (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V		(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 26.46							<u>(5)</u>	12/05/2017	Common Stock	6,400
Employee Stock Options (right to buy)	\$ 14.37							<u>(5)</u>	12/04/2018	Common Stock	17,000
Employee Stock Options (right to buy)	\$ 27.26							<u>(5)</u>	12/03/2019	Common Stock	8,000
Employee Stock Options (right to buy)	\$ 43.32							<u>(5)</u>	12/07/2020	Common Stock	6,400
Employee Stock Options (right to buy)	\$ 43.73							<u>(5)</u>	11/28/2021	Common Stock	8,800

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Employee Stock Options (right to buy)	\$ 61.59				<u>(6)</u>	11/28/2022	Common Stock	7,100
Employee Stock Options (right to buy)	\$ 71.75				<u>(7)</u>	11/25/2023	Common Stock	6,900
Employee Stock Options (right to buy)	\$ 79.66				(8)	11/24/2024	Common Stock	7,600
Employee Stock Options (right to buy)	\$ 70.91				<u>(9)</u>	11/23/2025	Common Stock	11,000
Employee Stock Options (right to buy)	\$ 107.65	11/21/2016	A	8,600	(10)	11/21/2026	Common Stock	8,600

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 0	Director	10% Owner	Officer	Other				
VEILLETTE ROBERT E 28601 CLEMENS ROAD WESTLAKE, OH 44145			VP, General Counsel & Sec					

## **Signatures**

Robert E.
Veillette

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 21, 2016, the Company awarded 1,100 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares will vest on each of November 30, 2017, 2018, and 2019.

Reporting Owners 3

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- On November 21, 2016, the Company awarded 2,200 performance shares under the Company's 2012 Stock Incentive and Award Plan, which represent the right to receive shares contingent upon the achievement of performance measures over a 3-year performance period.
- (2) Shares that are earned upon the attainment of the applicable performance targets settle in January 2020. The ultimate number of shares earned is subject to adjustment based on actual performance. The holdings include 138 shares acquired through dividend payments and participation in the Company's dividend reinvestment plan, and are net of shares previously withheld or sold to cover withholding taxes.
- Represents the number of shares attributable to the reporting person's participation in the Company Stock Fund of the Nordson
- (3) Employee Stock Ownership Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities.
- (4) Represents the number of shares attributable to the reporting person's participation in the Company's Savings Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities.
- (5) All such options have fully vested.
- On November 28, 2012, the Company awarded 7,100 stock options under the Company's Long-Term Performance Plan. The options vest in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.
- On November 25, 2013, the Company awarded 6,900 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.
- On November 24, 2014, the Company awarded 7,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.
- On November 23, 2015, the Company awarded 11,000 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.
- On November 21, 2016, the Company awarded 8,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 21, 2017. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.