### Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

### GREAT SOUTHERN BANCORP INC

11/17/2016

11/18/2016

11/18/2016

stock

stock

stock

Common

Common

Form 4

November 21, 2016

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MITCHEM STEVEN G Issuer Symbol GREAT SOUTHERN BANCORP (Check all applicable) INC [GSBC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) CARE OF GREAT SOUTHERN 11/17/2016 Senior Vice Pres of Subsidiary BANK, 218 S. GLENSTONE **AVENUE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SPRINGFIELD, MO 65802 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V (D) Price Amount Common 11/17/2016 \$ 19.53 59,856 D M 550 Α stock Common

S

M

S

550

500

500

A

A

59,306

59,306

30,202

48.505

\$48.6

\$ 19.53 59,806

D

D

D

Ι

Spouse

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Common stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable at (Month/Day/Year) Execution Date, if Transaction Expiration Date any (Month/Day/Year) (Instr. 8) Securities		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr			
	Security				Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase	\$ 19.53	11/17/2016		M	550	<u>(1)</u>	11/16/2021	Common Stock	1,050	\$ 1
Option to purchase	\$ 19.53	11/18/2016		M	500	<u>(1)</u>	11/16/2021	Common stock	500	\$ 1
Option to purchase	\$ 24.82					(2)	11/28/2022	Common stock	2,100	
Option to purchase	\$ 29.64					(3)	12/18/2023	Common stock	3,150	
Option to purchase	\$ 32.59					<u>(4)</u>	10/15/2024	Common stock	3,150	
Option to purchase	\$ 50.71					<u>(5)</u>	11/18/2025	Common Stock	4,200	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
MITCHEM STEVEN G				Senior Vice Pres of			
CARE OF GREAT SOUTHERN BANK				Subsidiary			
218 S. GLENSTONE AVENUE							

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### SPRINGFIELD, MO 65802

# **Signatures**

Matt Snyder, Attorney-in-fact for Steven G.
Mitchem

11/21/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,050 shares vest on 11/16/2016
- (2) 1,050 shares vest on 11/28/2016 and 11/28/2017
- (3) 1,050 shares vest on 12/18/2016, 12/18/2017 and 12/18/2018
- (4) 1,050 shares vest on 10/15/2017, 10/15/2018 and 10/15/2019
- (5) 1,050 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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