NORDSON CORP Form 4

November 30, 2015

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| VEILLETTE ROBERT E Sy                |   |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>NORDSON CORP [NDSN] |                                       |                  |   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |                                      |  |
|--------------------------------------|---|--|--|---------------------------------------|------------------|---|--|--|--------------------------------------|--|
| (Last) 28601 CLE                     | (First) (I  | (M   | Date of Earliest Tronth/Day/Year) /25/2015                                   | ansaction                             |                  |   | Director Officer (give below)  | 109  | % Owner ner (specify                 |  |
| WESTLAK                              | (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  WESTLAKE, OH 44145 |  |  |                                       |                  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |  |                                      |  |
| (City)                               |   | (Zip)  | Table I - Non-I  | )<br>Perivative                       | Secu             | rities Aco  | Person uired, Disposed o   | of, or Beneficia   | llv Owned                            |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)   | e 2A. Deemed<br>Execution Da<br>any<br>(Month/Day/ | 3.<br>tte, if Transaction<br>Code  | 4. Securi<br>on(A) or D<br>(Instr. 3, | ties A<br>ispose | ecquired ed of (D) 5)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect                |  |
| Common<br>Stock                      | 11/25/2015  |  | F  | 188                                   | D                | \$<br>72.29   | 35,448   | D (1)  |                                      |  |
| Common<br>Stock                      |   |  |  |                                       |                  |   | 2,083  | I  | By<br>Company<br>ESOP Plan           |  |
| Common<br>Stock                      |   |  |  |                                       |                  |   | 500  | I  | By<br>Company<br>Savings<br>Plan (3) |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. Pr Deriv Secu (Inst

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 24.46  |   |   |  |  | <u>(4)</u>   | 12/06/2016         | Common<br>Stock   | 1,000                               |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 27.81  |   |   |  |  | <u>(4)</u>   | 02/20/2017         | Common<br>Stock   | 8,000                               |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 26.46  |   |   |  |  | <u>(4)</u>   | 12/05/2017         | Common<br>Stock   | 6,400                               |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 14.37  |   |   |  |  | <u>(4)</u>   | 12/04/2018         | Common<br>Stock   | 17,000                              |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 27.26  |   |   |  |  | <u>(4)</u>   | 12/03/2019         | Common<br>Stock   | 8,000                               |
|   | \$ 43.32  |   |   |  |  | <u>(4)</u>   | 12/07/2020         |   | 6,400                               |

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| Employee<br>Stock<br>Options<br>(right to<br>buy) |          |            |            | Common<br>Stock |        |
|---|----------|------------|------------|-----------------|--------|
| Employee<br>Stock<br>Options<br>(right to<br>buy) | \$ 43.73 | <u>(4)</u> | 11/28/2021 | Common<br>Stock | 8,800  |
| Employee<br>Stock<br>Options<br>(right to<br>buy) | \$ 61.59 | (5)        | 11/28/2022 | Common<br>Stock | 7,100  |
| Employee<br>Stock<br>Options<br>(right to<br>buy) | \$ 71.75 | <u>(6)</u> | 11/25/2023 | Common<br>Stock | 6,900  |
| Employee<br>Stock<br>Options<br>(right to<br>buy) | \$ 79.66 | <u>(7)</u> | 11/24/2024 | Common<br>Stock | 7,600  |
| Employee<br>Stock<br>Options<br>(right to<br>buy) | \$ 70.91 | (8)        | 11/23/2025 | Common<br>Stock | 11,000 |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |                                    |       |  |
|--|---------------|-----------|------------------------------------|-------|--|
|  | Director      | 10% Owner | Officer                            | Other |  |
| VEILLETTE ROBERT E<br>28601 CLEMENS ROAD<br>WESTLAKE, OH 44145 |               |           | VP,<br>General<br>Counsel &<br>Sec |       |  |

# Signatures

| Robert E.<br>Veillette             | 11/30/2015 |  |
|------------------------------------|------------|--|
| **Signature of<br>Reporting Person | Date       |  |

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On November 25, 2013, the Company awarded 1,200 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares vested on each of November 25, 2014 and 2015, and one-third will vest November 25, 2016 . 188 of the
- (1) 400 shares that vested November 25, 2015 were withheld to cover withholding taxes due upon vesting. The holdings include 29 shares acquired through participation in the Company's Dividend Reinvestment Plan, and are net of shares previously withheld, or sold under a Rule 10b5-1 trading plan, to cover withholding taxes.
- (2) Represents the number of shares attributable to the reporting person's participation in the Company Stock Fund of the Nordson Employee Stock Ownership Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities.
- (3) Represents the number of shares attributable to the reporting person's participation in the Company's Savings Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities
- (4) All such options have fully vested.
- On November 28, 2012, the Company awarded 7,100 stock options under the Company's Long-Term Performance Plan. The options vest in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.
- On November 25, 2013, the Company awarded 6,900 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.
- On November 24, 2014, the Company awarded 7,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.
- On November 23, 2015, the Company awarded 11,000 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.