Edgar Filing: Briley Christopher Pickett - Form 4

Briley Christ Form 4 April 03, 201	opher Pickett									
FORM	1 /								PPROVAL	
	UNITED STA	Washington, D.C. 20549						OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNER SECURITIES						Expires: Estimated a burden hou response	irs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type F	Responses)									
Briley Christopher Pickett Symbo			. Issuer Name and Ticker or Trading mbol anning & Napier, Inc. [MN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle)	3. Date of	3. Date of Earliest Transaction					ek an appreable)		
			(Month/Day/Year) 04/01/2019				Director 10% Owner X Officer (give title Other (specify below) below) See Remarks			
	(Street)	Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
FAIRPORT	, NY 14450							Iore than One Re		
(City)	(State) (Zip)	Tabl	e I - Non-D	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	any	cution Date, if	 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) 		of	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock, par value \$0.01	04/01/2019		А	46,729 (1)	А	\$0	46,729	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships								
		Director	10% Owner	Officer	Other					
Briley Christopher Pickett C/O MANNING & NAPH 290 WOODCLIFF DRIVE FAIRPORT, NY 14450				See Remarks						
Signatures										
/s/ Sarah C. Turner	04/03/201	9								
**Signature of	Date									

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents 46,729 restricted stock units that will convert to common stock on a one-for-one basis. The restricted stock units are subject to time vesting, with thirty-five percent, or 16,355 of such restricted stock units, vesting on each of December 31, 2019 and December 31,

(1) the vesting, with unity-rive percent, or 10,353 of such restricted stock units, vesting on Each of December 31, 2019 and December 31, 2019 and December 31, 2020; twenty percent, or 9,346 of such restricted stock units, vesting on December 31, 2021; and ten percent, or 4,673 of such restricted stock units, vesting on December 31, 2022.

Remarks:

Reporting Person

Chief Technology Officer, Manning & Napier Advisors, LLC

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.