Pla Frederic Form 4 March 19, 2019

FORM 4

Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * Pla Frederic

(First)

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

GENOMIC HEALTH INC [GHDX]

(Check all applicable)

301 PENOBSCOT DR.

3. Date of Earliest Transaction (Month/Day/Year)

03/18/2019

Director 10% Owner X_ Officer (give title

COO

below)

_ Other (specify below)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

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Estimated average

burden hours per

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

REDWOOD CITY, CA 94063

| (City) | (State) | (Zip) Tabl | e I - Non-I | Derivative | Secui | rities Acqu | ired, Disposed of | , or Beneficiall | y Owned |
|--------------------------------------|---|---|---|------------------------------------|-----------|----------------------|--|--|-----------------------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securion(A) or Di (Instr. 3, | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 03/18/2019 | | M | 1,000 | A | \$ 27.48 | 32,575 <u>(1)</u> | D | |
| Common Stock (2) | 03/18/2019 | | S | 1,000 | D | \$ 75.122 (3) (4) | 31,575 (1) | D | |
| Common Stock | 03/19/2019 | | M | 1,800 | A | \$ 27.48 | 33,375 <u>(1)</u> | D | |
| Common Stock | 03/19/2019 | | M | 1,400 | A | \$ 27 | 34,775 <u>(1)</u> | D | |
| Common Stock | 03/19/2019 | | M | 1,500 | A | \$ 31.98 | 36,275 <u>(1)</u> | D | |

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Common 4,700 D $\$75 \frac{(5)}{}$ 31,575 $\frac{(1)}{}$ 03/19/2019 S D Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | nsaction Date 3A. Deemed h/Day/Year) Execution Date, if any (Month/Day/Year) | | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | cisable and late 'Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|--|--------|---|-------|---------------------|-------------------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) Holding | \$ 27.48 | 03/18/2019 | | M | | 1,000 | <u>(6)</u> | 01/31/2027 | Common Stock | 1,000 |
| Employee Stock Option (right to buy) Holding | \$ 27.48 | 03/19/2019 | | M | | 1,800 | <u>(6)</u> | 01/31/2027 | Common Stock | 1,800 |
| Employee Stock Option (right to buy) | \$ 31.98 | 03/19/2019 | | M | | 1,500 | <u>(7)</u> | 01/01/2025 | Common Stock | 1,500 |
| Employee Stock Option (right to buy) Holding | \$ 27 | 03/19/2019 | | M | | 1,400 | (8) | 02/16/2026 | Common Stock | 1,400 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pla Frederic

301 PENOBSCOT DR. COO

REDWOOD CITY, CA 94063

Signatures

/s/ Jason W. Radford, Attorney-in-fact 03/19/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes an aggregate of 13,381 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (2) These sales of common stock were effected pursuant to Rule 10b5-1 sales plan adopted by the reporting person on December 10, 2018.
- (3) Reporting person undertakes to provide upon request by the Securities and Exchange Commission, the issuer or a securityholder of the issuer detailed information regarding the price and number of shares sold within the range indicated.
- (4) Represents weighted average sale price. Actual sale prices ranged from \$75.11 to \$75.13.
- (5) Represents actual sale price.
- (6) The option became exercisable as to 25% of the shares on January 31, 2018, and becomes exercisable as to 1/48th of the shares each full month thereafter.
- (7) The option became exercisable as to 25% of the shares on January 1, 2016, and became exercisable as to 1/48th of the shares each full month thereafter.
- (8) The option became exercisable as to 25% of the shares on February 16, 2017, and became exercisable as to 1/48th of the shares each full month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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