

KRAMER LAWRENCE S

Form 4

March 18, 2019

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KRAMER LAWRENCE S

(Last) (First) (Middle)

C/O THESTREET, INC., 14 WALL
STREET, 15TH FLOOR

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

THESTREET, INC. [TST]

3. Date of Earliest Transaction
(Month/Day/Year)

03/11/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			Shares held by
Common Stock, par value \$.01 per share	03/11/2019		M		2,466	A \$ 1.71	827,400	I	Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019		F		1,890	D \$ 2.23 <u>(1)</u>	825,510	I	Shares held by Lawrence Kramer and

Common Stock, par value \$.01 per share	03/11/2019	M	10,000	A	\$ 1.47	835,510	I	Myla Lerner Revocable Trust Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	F	6,591	D	\$ 2.23 <u>(1)</u>	828,919	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	M	500,000	A	\$ 1.2	1,328,919	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	F	269,058	D	\$ 2.23 <u>(1)</u>	1,059,861	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	M	5,000	A	\$ 0.85	1,064,861	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01	03/11/2019	F	1,905	D	\$ 2.23 <u>(1)</u>	1,062,956	I	Shares held by Lawrence

per share

Common Stock, par value \$.01 per share	03/11/2019	M	10,000	A	\$ 0.93	1,072,956	I	Kramer and Myla Lerner Revocable Trust
								Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	F	4,170	D	\$ 2.23 (1)	1,068,786	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
								Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	M	10,000	A	\$ 1.8	1,078,786	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
								Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share	03/11/2019	F	8,071	D	\$ 2.23 (1)	1,070,715	I	Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
								Shares held by Lawrence Kramer and Myla Lerner Revocable Trust
Common Stock, par value \$.01 per share						8,652	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Stock Options (right to buy)	\$ 1.71	03/11/2019		M	2,466	01/04/2016 01/04/2021	Common Stock, par value \$.01 per share 2,466
Stock Option (right to buy)	\$ 1.47	03/11/2019		M	10,000	01/04/2017 01/04/2021	Common Stock, par value \$.01 per share 10,000
Stock Option (right to buy)	\$ 1.2	03/11/2019		M	500,000	02/14/2019 ⁽²⁾ 04/01/2023	Common Stock, par value \$.01 per share 500,000
Stock Option (right to buy)	\$ 0.85	03/11/2019		M	5,000	02/14/2019 ⁽²⁾ 01/03/2024	Common Stock, par value \$.01 per share 5,000
Stock Option (right to purchase)	\$ 0.93	03/11/2019		M	10,000	02/14/2019 ⁽²⁾ 05/31/2024	Common Stock, par value \$.01 per share 10,000
Stock Option (right to purchase)	\$ 1.8	03/11/2019		M	10,000	02/14/2019 ⁽²⁾ 05/18/2025	Common Stock, par value \$.01 per share 10,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

KRAMER LAWRENCE S
C/O THE STREET, INC.
14 WALL STREET, 15TH FLOOR
NEW YORK, NY 10005

X

Signatures

/s/ Jared Verteramo, as Attorney-in-Fact For Lawrence S.
Kramer

03/18/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the Issuer in payment of the aggregate option exercise price incurred upon the above-reported exercise of options. The amount of shares withheld is based on the closing price on March 11, 2019.
- (2) The options fully accelerated and became exercisable upon the sale of the Issuer's institutional business units to Euromoney Institutional Investor PLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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