

Shak Steven
Form 4
March 05, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Shak Steven

(Last) (First) (Middle)

301 PENOBSCOT DRIVE

(Street)

REDWOOD CITY, CA 94063

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

GENOMIC HEALTH INC [GHDX]

3. Date of Earliest Transaction
(Month/Day/Year)

03/01/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Chief Scientific Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/01/2019		M		25,000	A	\$ 28.05	360,479 ⁽¹⁾	I	Held by the Shak Family Trust
Common Stock ⁽²⁾	03/01/2019		S		17,578	D	\$ 77.0756 ⁽³⁾ ⁽⁴⁾	342,901 ⁽²⁾	I	Held by the Shak Family Trust
Common Stock ⁽²⁾	03/01/2019		S		7,322	D	\$ 77.5409 ⁽³⁾ ⁽⁵⁾	335,579 ⁽²⁾	I	Held by the Shak Family Trust

Edgar Filing: Shak Steven - Form 4

Common Stock <u>(2)</u>	03/01/2019	S	100	D	\$ 78.35 <u>(6)</u>	335,479 <u>(1)</u>	I	Held by the Shak Family Trust
Common Stock	03/04/2019	M	7,500	A	\$ 28.05	342,979 <u>(1)</u>	I	Held by the Shak Family Trust
Common Stock <u>(2)</u>	03/04/2019	S	1,918	D	\$ 74.7585 <u>(3) (7)</u>	341,061 <u>(1)</u>	I	Held by the Shak Family Trust
Common Stock <u>(2)</u>	03/04/2019	S	2,356	D	\$ 75.7629 <u>(3) (8)</u>	338,705 <u>(1)</u>	I	Held by the Shak Family Trust
Common Stock <u>(2)</u>	03/04/2019	S	3,026	D	\$ 76.5876 <u>(3) (9)</u>	335,679 <u>(1)</u>	I	Held by the Shak Family Trust
Common Stock	03/04/2019	S	200	D	\$ 77.82 <u>(6)</u>	335,479 <u>(1)</u>	I	Held by the Shak Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 28.05	03/01/2019		M			25,000	<u>(10)</u>	01/31/2023	Common Stock	25,000

(right to
buy)

Employee

Stock

Option	\$ 28.05	03/04/2019	M	7,500	<u>(10)</u>	01/31/2023	Common Stock	7,500
--------	----------	------------	---	-------	-------------	------------	-----------------	-------

(right to
buy)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Shak Steven 301 PENOBSCOT DRIVE REDWOOD CITY, CA 94063	Chief Scientific Officer

Signatures

/s/ Jason W. Radford,
Attorney-in-fact

03/05/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes an aggregate of 9,210 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (2) These sales of common stock were effected pursuant to Rule 10b5-1 sales plan adopted by the reporting person on November 30, 2018.
- (3) Reporting person undertakes to provide upon request by the Securities and Exchange Commission, the issuer or a securityholder of the issuer detailed information regarding the price and number of shares sold within the range indicated.
- (4) Represents weighted average sale price. Actual sale prices ranged from \$76.35 to \$77.34.
- (5) Represents weighted average sale price. Actual sale prices ranged from \$77.35 to \$78.34.
- (6) Represents actual sale price.
- (7) Represents weighted average sale price. Actual sale prices ranged from \$74.22 to \$75.16.
- (8) Represents weighted average sale price. Actual sale prices ranged from \$75.22 to \$76.20.
- (9) Represents weighted average sale price. Actual sale prices ranged from \$76.23 to \$77.09.
- (10) The option became exercisable as to 25% of the shares on January 31, 2014, and became exercisable as to 1/48th of the shares each full month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.