Thornton Joe Jr Form 4 February 22, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** Number:

3235-0287

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January 31, 2005

0.5

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subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Thornton Joe Jr

(First) (Middle)

C/O HFF, INC., ONE OXFORD CENTRE,, 301 GRANT STREET, **SUITE 1100** 

(Street)

(State)

Filed(Month/Day/Year)

(Zip)

4. If Amendment, Date Original

Symbol

HFF, Inc. [HF]

(Month/Day/Year)

02/20/2019

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

below) President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### PITTSBURGH, PA 15219

| (5)                                  | (=)                                     | Tab   | le I - Non-l                           | Derivative                            | Secur  | ities Acq   | uirea, Disposea o  | i, or Beneficial   | ly Owned  |
|--------------------------------------|---|---|--|---------------------------------------|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit on(A) or Dis (Instr. 3, 4) | sposed | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A common stock                 | 02/20/2019                              |   | A                                      | 15,849<br>(1)                         | A      | \$<br>42.59 | 362,587  | D  |   |
| Class A common stock                 | 02/20/2019                              |   | A                                      | 3,463<br>(2)                          | A      | \$<br>42.59 | 366,050  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

### Edgar Filing: Thornton Joe Jr - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D  | ate         | Amou    | int of       | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | lying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Securi  | ities        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |               |             | (Instr. | 3 and 4)     |             | Owne   |
|             | Security    |                     |                    |             | Acquired   |               |             |         |              |             | Follo  |
|             |             |                     |                    |             | (A) or     |               |             |         |              |             | Repo   |
|             |             |                     |                    |             | Disposed   |               |             |         |              |             | Trans  |
|             |             |                     |                    |             | of (D)     |               |             |         |              |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |               |             |         |              |             |        |
|             |             |                     |                    |             | 4, and 5)  |               |             |         |              |             |        |
|             |             |                     |                    |             |            |               |             |         | Amount       |             |        |
|             |             |                     |                    |             |            |               |             |         |              |             |        |
|             |             |                     |                    |             |            | Date          | Expiration  | Title   | or<br>Number |             |        |
|             |             |                     |                    |             |            | Exercisable   | Date        | Title   | of           |             |        |
|             |             |                     |                    | Codo V      | (A) (D)    |               |             |         | Shares       |             |        |
|             |             |                     |                    | Code v      | (A) (D)    |               |             |         | Shares       |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |           |       |  |  |
|--|---------------|-----------|-----------|-------|--|--|
|  | Director      | 10% Owner | Officer   | Other |  |  |
| Thornton Joe Jr  |               |           |           |       |  |  |
| C/O HFF, INC., ONE OXFORD CENTRE, 301 GRANT STREET, SUITE 1100 | X             |           | President |       |  |  |
| PITTSBURGH PA 15219  |               |           |           |       |  |  |

### **Signatures**

/s/ Eric O. Conrad, as attorney-in-fact 02/22/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents grant of restricted stock units of Class A common stock of the Company under the Company's Executive Bonus Plan, one-third of which will vest on each of February 20, 2020, February 20, 2021, and February 20, 2022.
- (2) Represents grant of restricted stock units of Class A common stock of the Company under the Company's Office Profit Participation Bonus Plan, one-third of which will vest on each of February 20, 2020, February 20, 2021, and February 20, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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