Edgar Filing: HARP JAMES O JR - Form 4

HARP JAMES Form 4	S O JR											
February 20, 2 FORM Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	4 UNITE box STATI Filed p Section 1	EMENT O Dursuant to .7(a) of the	Wash F CHANG Section 16(ingtoi ES IN SECU (a) of t ity Ho	n, E N B RI he bldin	D.C. 2054 ENEFIC FIES Securitien ng Comp	19 T IAL s Exc any A	OWN Change Act of	OMMISSION ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response	•	
(Print or Type Re 1. Name and Ad HARP JAME	dress of Reporti	ng Person <u>*</u>	2. Issuer N Symbol	Vame ar	nd T	ïcker or Tr	ading		5. Relationship of I	Reporting Pers	on(s) to	
	HORNBECK OFFSHORE SERVICES INC /LA [HOS]						(Check all applicable)					
(Last) 103 NORTHI SUITE 300	(First) (Middle) 3. Date of F (Month/Da) THPARK BOULEVARD, 02/15/20				Tran	saction			Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President & CFO			
(Street) 4. If Amend Filed(Month COVINGTON, LA 70433						Original			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting 			
		(7:0)							Person			
(City)	(State)	(Zip)	Table	I - Non	-Dei			_	ired, Disposed of,	or Beneficiall	-	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	(ear) Execu any	eemed tion Date, if h/Day/Year)	3. Transa Code (Instr. Code	8)	4. Securit on(A) or Dis (Instr. 3, 4) Amount	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	02/15/2019			М		19,307	А	<u>(1)</u>	354,018	D		
COMMON STOCK	02/15/2019			D		19,307	D	\$ 1.47 (1)	334,711	D		
COMMON STOCK	02/15/2019			М		87,268	А	<u>(2)</u>	421,979	D		
COMMON	02/15/2010			D		87 768	D	\$ 1.47	22/ 711	D		

D

87,268 D

1.47 334,711

(2)

D

02/15/2019

STOCK

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COMMON STOCK	02/15/2019	F	5,773 (3)	D	\$ 1.59	328,938	D	
COMMON STOCK						12,784	Ι	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			ve Expiration Date s (Month/Day/Year) d (A) sed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
PHANTOM STOCK	<u>(1)</u>	02/15/2019		М		19,307	<u>(1)</u>	(1)	COMMON STOCK	19,307
PHANTOM STOCK	(2)	02/15/2019		М		87,268	(2)	(2)	COMMON STOCK	87,268
PHANTOM STOCK	<u>(4)</u>	02/15/2019		D		28,574	(4)	(4)	COMMON STOCK	28,574

Reporting Owners

Reporting Owner Name / Address	Relationships							
hepotening of their reality read ess	Director	10% Owner	Officer	Other				
HARP JAMES O JR 103 NORTHPARK BOULEVARD, SUITE 300 COVINGTON, LA 70433			Executive Vice President & CFO					
Signatures								
/s/ Beth A. LaBrosse as POA for James O. Harp	02/2	0/2019						
**Signature of Reporting Person	E	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the one-third vesting and cash settlement of a time-vest phantom stock unit award with a Grant Date of February 16, 2016. Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable in cash or

(1) Each phantom unit is the economic equivalent of one share of the Company's common stock. The phantom shares are payable in each of the stock at the election of the Company's stock on the vesting date. No shares were actually issued, converted, or sold in connection with this transaction.

Represents the vesting and cash settlement of a performance-vest phantom stock unit award with a Grant Date of February 16, 2016. Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable in cash or stock at the election of the Company. Cash awards are based on a 10 day trailing average of the market price of the Company's stock on the vesting date. No shares were actually issued, converted, or sold in connection with this transaction.

- (3) Disposition of these shares represents payment of tax liability by delivering or withholding securities incident to the vesting of a restricted stock unit award issued in accordance with Rule 16b-3.
- (4) These shares represent the forfeiture of a portion of a performance vest phantom stock unit award granted February 16, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.