

Girton Tani  
 Form 5  
 February 04, 2019

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 Girton Tani

(Last) (First) (Middle)

504 REDWOOD BOULEVARD,  
 STE 100

(Street)

NOVATO, CA 94947

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Bank of Marin Bancorp [BMRC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Executive Vice President

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Amount or Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|-----------------|--|--|---|
| Common Stock                    | 11/27/2018                           | Â  | J4 <sup>(1)</sup>              | 9,868   | A          | \$ 0            | 19,736   | D  | Â   |
| Common Stock                    | 08/10/2018                           | Â  | J4 <sup>(2)</sup>              | 4.6282  | A          | \$ 0            | 1,259.7696   | I  | By ESOP   |
| Common Stock                    | 11/08/2018                           | Â  | J4 <sup>(2)</sup>              | 5.1124  | A          | \$ 0            | 1,264.882  | I  | By ESOP   |
| Common Stock                    | 11/27/2018                           | Â  | J4 <sup>(3)</sup>              | 1,264.8821  | A          | \$ 0            | 2,529.7641   | I  | By ESOP   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| (Stock Options (Right to buy))             | \$ 20.365  | Â                                    | Â  | Â                              | Â Â Â (4)   | 08/29/2023   | Common Stock  | 11,000                        |
| Stock Options (Right to buy)               | \$ 25.375  | Â                                    | Â  | Â                              | Â Â Â (4)   | 03/02/2025   | Common Stock  | 2,880                         |
| Stock Options (Right to buy)               | \$ 24.825  | Â                                    | Â  | Â                              | Â Â Â (7)   | 03/01/2026   | Common Stock  | 2,950                         |
| Stock Options (Right to buy)               | \$ 34.8  | Â                                    | Â  | Â                              | Â Â Â (7)   | 03/01/2027   | Common Stock  | 2,050                         |
| Stock Options (Right to buy)               | \$ 33.575  | Â                                    | Â  | Â                              | Â Â Â (10)  | 03/01/2028   | Common Stock  | 1,020                         |
| Stock Options (Right to buy)               | \$ 33.575  | Â                                    | Â  | Â                              | Â Â Â (7)   | 03/01/2028   | Common Stock  | 2,280                         |

# Reporting Owners

| Reporting Owner Name / Address                                    | Relationships |           |                            |       |
|---|---------------|-----------|----------------------------|-------|
|   | Director      | 10% Owner | Officer                    | Other |
| Girton Tani<br>504 REDWOOD BOULEVARD, STE 100<br>NOVATO, CA 94947 | Â             | Â         | Â Executive Vice President | Â     |

## Signatures

Nancy R. Boatright, 02/04/2019  
Attorney-in-Fact

\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 27, 2018, the common stock of Bank of Marin Bancorp split 2-for-1, resulting in the reporting person's ownership of 9,868 additional shares of common stock.
- (2) Exempt under Rule 16A-11 Additional shares resulting from dividend reinvestments
- (3) On November 27, 2018, the common stock of Bank of Marin Bancorp split 2-for-1, resulting in the reporting person's ownership of 1,264.8821 additional shares of common stock.
- (4) Exercisable 20% per year beginning on first anniversary date of grant
- (5) This option was previously reported as covering 11,000 shares at an exercise price of \$40.73 per share, but was adjusted to reflect the stock split that occurred on November 27, 2018.
- (6) This option was previously reported as covering 2,880 shares at an exercise price of \$50.75 per share, but was adjusted to reflect the stock split that occurred on November 27, 2018.
- (7) Exercisable 33% per year beginning on first anniversary date of grant
- (8) This option was previously reported as covering 2,950 shares at an exercise price of \$49.65 per share, but was adjusted to reflect the stock split that occurred on November 27, 2018.
- (9) This option was previously reported as covering 2,050 shares at an exercise price of \$69.60 per share, but was adjusted to reflect the stock split that occurred on November 27, 2018.
- (10) Exercisable 33% immediately, then 33% per year on first anniversary date of grant.
- (11) This option was previously reported as covering 1,020 shares at an exercise price of \$67.15 per share, but was adjusted to reflect the stock split that occurred on November 27, 2018.
- (12) This option was previously reported as covering 2,280 shares at an exercise price of \$67.15 per share, but was adjusted to reflect the stock split that occurred on November 27, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.